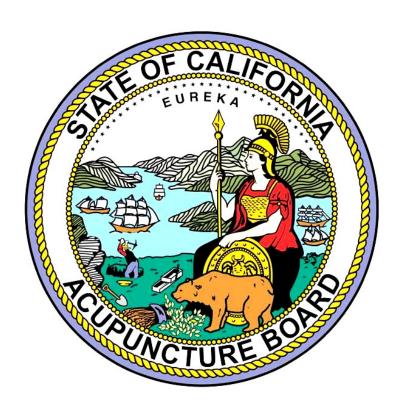
### **California Acupuncture Board Meeting**

### May 26, 2017 Los Angeles, CA



#### **Board Members**

Hildegarde Aguinaldo, J.D. – President Public Member Jamie Zamora – Vice President Public Member Kitman Chan – Public Member Dr. Michael Corradino, DAOM, L.Ac Francisco Hsieh – Public Member Jeannie Kang, L.Ac Dr. Amy Matecki, MD, L.Ac

#### **Legal Counsel**

Jason Hurtado, Esq.

#### Staff

Ben Bodea – Executive Officer
Erica Bautista – Administration Coordinator
Cricket Borges – Enforcement Analyst
Kristine Brothers – Enforcement Coordinator
Tammy Graver – Board Liaison
Van Martini - Continuing Education Coordinator
Jay Herdt – Education Coordinator
Marc Johnson – Policy Coordinator
Vacant – Office Technician
Terry Sinkovich – Exam Coordinator
Tammy Stadley – Exam Analyst
Vacant – Licensing Technician
Beck Untalasco – Seasonal Clerk
Sandra Wilson – Licensing Technician



1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 <a href="https://www.acupuncture.ca.gov">www.acupuncture.ca.gov</a>



#### NOTICE OF ACUPUNCTURE BOARD MEETING Friday, May 26, 2017

Upon conclusion of committee meetings until completion of business

#### LOCATION:

#### Tai Chi Studio – 2<sup>nd</sup> floor Yo San University of Traditional Chinese Medicine 13315 W. Washington Blvd., Los Angeles CA 90066

The Board plans to webcast this meeting at <a href="https://thedcapage.wordpress.com/webcasts/">https://thedcapage.wordpress.com/webcasts/</a>. Webcast availability cannot, however, be guaranteed due to limitations on resources or other technical difficulties that may arise. If you wish to participate or to have a guaranteed opportunity to observe, please plan to attend at a physical location.

#### California Acupuncture Board Members

Hildegarde Aguinaldo, President, Public Member Jamie Zamora, Vice President, Public Member Kitman Chan, Public Member Dr. Michael Corradino, DAOM, Licensed Member Francisco Hsieh, Public Member Jeannie Kang, L.Ac, Licensed Member Dr. Amy Matecki, MD, L.Ac, Licensed Member

#### **AGENDA — FULL BOARD MEETING**

- 1. Call to Order, Roll Call and Establishment of a Quorum (Aguinaldo)
- 2. Opening Remarks (Aguinaldo)
- 3. Election of Officers
  - a. President
  - b. Vice President
- 4. Public Comment for Items Not on Agenda (Aguinaldo)

Public Comments will be limited to two minutes per speaker. Time limitations will be determined by the President. The Board may not discuss or take any action on any item raised during this public comment section, except to decide whether to place the matter on the agenda of a future meeting (Government Code Sections 11125, 11125.7(a))

- 5. President's Report (Aguinaldo)
- 6. Staff Reports
  - a. Executive Officer's Report (Bodea)
    - 1. Staff Update
    - 2. Budget Update
    - 3. March 21, 2017 CALE Examination Statistics
    - 4. Outreach Update
      - a. Medicine Day
      - b. CCAOM

- 5. Strategic Plan Update
- 6. 2016 2017 Accomplishments Letter
- 7. National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) Examination Update
- 8. Sunset Report Update
- b. Enforcement Report (Brothers)
  - 1. Q3 16-17 Enforcement Report
  - 2. National Practitioner Databank (NPDB) update
  - 3. Form 801 Malpractice Settlement Form
- c. Education Report (Herdt)
  - 1. Status of Curriculum Reviews
  - 2. BPPE and ACAOM collaboration
  - 3. Continuing Education Update
- d. Regulatory Update (Johnson)
  - 1. Title 16, California Code of Regulations (CCR), Sections 1399.480, 1399.490.1, 1399.490.2, 1399.490.3 Sponsored Free Health Care Events (AB 2699)
  - 2. Title 16, CCR Sections 1399.433, 1399.434, 1399.436 and 1399.437 Implementation of SB 1246
  - 3. Title 16, CCR Section 1399.455 Advertising Guidelines: Display of License Number in Advertising
  - 4. Title 16, CCR Section 1399.469.4 Prohibited Sexual Acts
  - 5. Title 16, CCR Section 1399.482.2 Continuing Education Ethics Requirement
  - 6. Title 16, CCR Section 1399.451(a) Hand Hygiene Requirements
  - 7. Title 16, CCR Section 1399.469 2017 Update of Disciplinary Guidelines
  - 8. Title 16, CCR Section 1399.469 Uniform Standards Related to Substance Abuse (SB 1441)
- e. Legislative Update (Johnson)
  - 1. Assembly Bill 12 (Cooley) State government: administrative regulations: review
  - 2. Assembly Bill 1005 (Calderon) Professions and vocations: fines relief
  - 3. Assembly Bill 1190 (Obernolte) Department of Consumer Affairs: BreEZe system: annual report
  - 4. Assembly Bill 1340 (Maienschein) Continuing medical education: mental and physical health care integration
  - 5. Senate Bill 27 (Morrell) Professions and vocations: military service
  - 6. Senate Bill 572 (Stone) Healing arts licensees: violations: grace period
  - 7. Senate Bill 762 (Hernandez) Healing arts licensee: license activation fee: waiver
- 7. Committee Reports
  - a. Education Committee
  - b. Exam Committee
  - c. Research Committee
  - d. Enforcement Committee
  - e. Executive Committee
- 8. Consideration and Possible Action on New Proposed Amendments to Title 16, CCR Section 1399.469 Uniform Standards Related to Substance Abusing Licensees (Johnson)

- 9. Approval of February 24, 2017 Board Meeting Minutes (Johnson)
- 10. Re-scheduling of 2017 Board Meeting dates (Aguinaldo)
- 11. Future Agenda Items (Aguinaldo)
- 12. Adjournment (Aguinaldo)

Public Comment on items of discussion will be taken during each item. Time limitations will be determined by the President. Times are approximate and subject to change. Action may be taken on any item listed on the Agenda.

### THE AGENDA, AS WELL AS BOARD MEETING MINUTES AND MATERIALS, CAN BE FOUND ON THE ACUPUNCTURE BOARD'S WEBSITE: www.acupuncture.ca.gov

Please Note: Board meetings are open to the public and are held in barrier free facilities that are accessible to those with physical disabilities in accordance with the Americans with Disabilities Act (ADA). If you need additional reasonable accommodations, please make your request no later than five (5) business days before this meeting. Please direct any questions regarding this meeting to the Board Liaison, Tammy Graver at (916) 515-5204; FAX (916) 928-2204.

#6a2
Budget Report
FY 2016-2017

## CALIFORNIA ACUPUNCTURE BOARD - 0108 BUDGET REPORT FY 2016-17 EXPENDITURE PROJECTION FISCAL MONTH 9

|   | FY 20°                         |                                   |                            |                                     | FY 2016-17       |                           |                         |
|---|--------------------------------|-----------------------------------|----------------------------|-------------------------------------|------------------|---------------------------|-------------------------|
| OBJECT DESCRIPTION  | ACTUAL EXPENDITURES (MONTH 13) | PRIOR YEAR EXPENDITURES 3/31/2016 | BUDGET<br>STONE<br>2016-17 | CURRENT YEAR EXPENDITURES 3/31/2017 | PERCENT<br>SPENT | PROJECTIONS TO YEAR END   | UNENCUMBERED<br>BALANCE |
| DEDCOMMEN GERMAGE   | •                              |                                   |                            |                                     |                  |                           |                         |
| PERSONNEL SERVICES  | E00 246                        | 276 051                           | 520 000                    | 240 240                             | 669/             | 16E 66E                   | 62 225                  |
| Salary & Wages (Staff)<br>Statutory Exempt (EO)                               | 508,346<br>72,205              | 376,851<br>72,205                 | 529,000<br>80,000          | 349,249<br>38,431                   | 66%<br>48%       | 465,665<br>85,905         | 63,335<br>(5,905        |
| Temp Help Reg (Seasonals)<br>BL 12-03 Blanket                                 | 59,993                         | 43,380                            | 19,000                     | 40,164                              | 211%             | 60,246                    | (41,246                 |
| Temp Help (Exam Proctors)   |                                |                                   |                            |                                     |                  |                           |                         |
| Board Member Per Diem Committee Members (DEC)                                 | 14,100                         | 11,700                            | 7,000                      | 8,000                               | 114%             | 14,000                    | (7,000                  |
| Overtime  | 1,615                          | 1,615_                            | 5 <u>,</u> 000             |                                     |                  | <u>_ 2,</u> 5 <u>0</u> 0_ | 2,500                   |
| Staff Benefits  | 290,739                        | 214,316                           | 356,000                    | 211,532                             | 59%              | 282,043                   | 73,957                  |
| TOTALS, PERSONNEL SVC   | 946,998                        | 720,067                           | 996,000                    | 647,376                             | 65%              | 910,359                   | 85,641                  |
| OPERATING EXPENSE AND EQUIPMENT   |                                |                                   |                            |                                     |                  |                           |                         |
| General Expense   | 44,611                         | 39,838                            | 57,000                     | 39,645                              | 70%              | 59,468                    | (2,468                  |
| Fingerprint Reports   | 613                            | 417                               | 20,000                     | 588                                 | 3%               | 1,000                     | 19,000                  |
| Minor Equipment   | 85                             |                                   | _5,555                     | 2,100                               | n/a              | 2,100                     | (2,100                  |
| Printing  | 15,391                         | 13,564                            | 17,000                     | 9,698                               | <del></del>      | 14,547                    | 2,453                   |
| Communication   | 11,208                         | 5,244                             | 17,000                     | 5,813                               | 34%              | 8,720                     | 8,281                   |
| _ Postage   | 25,802                         | 17,286_                           | 27,000                     | 19,146                              | <u>71%</u> _     | 28,719                    | (1,719                  |
| Insurance   |                                |                                   |                            |                                     |                  |                           |                         |
| Travel In State   | 38,866                         | 27,113                            | 32,000                     | 23,109                              | 72%              | 34,664                    | (2,664                  |
| Travel, Out-of-State Training   | 2,861                          |                                   | 3,000                      | 420                                 |                  | <del>_</del>              | <del>-</del> 1,500      |
| Facilities Operations   | 109,458                        | 109,144                           | 65,000                     | 111,172                             | 171%             | 111,172                   | (46,172                 |
| Utilities C & P Services - Interdept.   |                                |                                   | 9,000                      |                                     |                  | . – – – – –               | 9,000                   |
| C 9 D Comisson Futowns  | 2                              | 2                                 | 4 000                      | 10                                  | 00/              | 500                       | 2.500                   |
| C & P Services - External  DEPARTMENTAL SERVICES:                             | 3                              | 3                                 | 4,000                      | 18                                  | 0%               | 500                       | 3,500                   |
| OIS Pro Rata  | 107,890                        | <br>101,250                       | 149,000                    | 111,753                             | <del>-</del> 75% | 149,000                   |                         |
| Administation Pro Rata  | 133,859                        | 100,500                           | 185,000                    | 135,747                             | 73%              | 185,000                   | 0                       |
| DOI - ISU Pro Rata  | 3,933                          | 3,000                             | 5,000                      | 3,753                               | 75%              | 5,000                     | 0                       |
| Communications Division   | 161,000                        | 120,750                           | 82,000                     | 61,497                              | 75%              | 82,000                    | 0                       |
| PPRD Pro Rata INTERAGENCY SERVICES:   | ,                              | ,                                 | 2,000                      | 1,503                               | 75%              | 2,000                     | 0                       |
| Interagency Services  |                                |                                   |                            |                                     |                  |                           |                         |
| _ IA w/ OPES  | 305,652                        | 191,190                           | 334,000                    | 157,803                             | 47%              | <u>334,000</u>            | 0                       |
| Consolidated Data Center  | 934                            | 625                               | 3,000                      | 574                                 | 19%              | 861                       | 2,139                   |
| DP Maintenance & Supply   | 449                            | 449                               | 5,000                      | 3,973                               | 79%              | 5,960                     | (960                    |
| Central Admin Svc-ProRata   | 138,730                        | 104,048                           |                            |                                     |                  |                           |                         |
| EXAM EXPENSES:  |                                |                                   |                            |                                     |                  | . – – – – –               |                         |
| Exam Freight Exam Site Rental   |                                |                                   |                            |                                     |                  |                           |                         |
| C/D Sygo External Export Administrative                                       | 270 406                        | 20E 404                           | 297.000                    | 20E 404                             | 1069/            | 205 404                   | (49.404                 |
| C/P Svcs-External Expert Administrative<br>C/P Svcs-External Expert Examiners | 279,186<br>51,286              | 305,491<br>29,723                 | 287,000<br>84,000          | 305,491                             | 106%             | 305,491                   | (18,491<br>84,000       |
| C/P Svcs-External Subject Matter  | 31,200                         | 20,720                            | 04,000                     | 16,212                              |                  | 21,616                    | (21,616                 |
| ENFORCEMENT:  |                                |                                   |                            | .0,2.2                              |                  | ,                         | (21,010                 |
| Attorney General  | 307,042                        |                                   | 386,000                    | 109,489                             | <del>_</del>     | 179,234                   | 206,767                 |
| Office Admin. Hearings  | 77,938                         | 63,640                            | 107,000                    | 15,757                              | 15%              | 27,012                    | 79,988                  |
| Court Reporters   | 3,210                          | <u> </u>                          | <u>-</u>                   | 397                                 | n/a              | 529                       | (529                    |
| Evidence/Witness Fees   | 69,285                         | 44,581                            | 11,000                     | 5,787                               | 53%              | 8,681                     | 2,320                   |
| DOI - Investigations  | 500,566                        | 381,750_                          | 824,000                    | 618,003                             | <u>75%</u> _     | <u>824,000</u>            | 0                       |
| Major Equipment   |                                |                                   |                            |                                     |                  |                           |                         |
| Special Items of Expense  |                                |                                   |                            |                                     |                  |                           |                         |
| Other (Vehicle Operations)  |                                |                                   | 3,000                      |                                     |                  |                           | 3,000                   |
| TOTALS, OE&E  | 2,389,858                      | 1,925,096                         | 2,718,000                  | 1,759,448                           | 65%              | 2,392,771                 | 325,229                 |
| TOTAL EXPENSE   | 3,336,856                      | 2,645,163                         | 3,714,000                  | 2,406,824                           | 130%             | 3,303,130                 | 410,870                 |
| Sched. Reimb External/Private   | (1,410)                        | , ··                              | (1,000)                    | ·                                   |                  | (1,000)                   | 0                       |
| Sched. Reimb Fingerprints   | (441)                          | (294)                             | (22,000)                   | (637)                               |                  | (22,000)                  | 0                       |
| Sched. Reimb Other Sched Interdepartmental                                    |                                | (705)                             |                            | (705)                               |                  |                           |                         |
| ·   | (130,413)                      | (00.404)                          |                            | (400 500)                           |                  |                           |                         |
|   | (130.413)                      | (96,431)                          |                            | (123,560)                           |                  |                           |                         |
| Unsched. Reimb Other  |                                |                                   | 2 604 000                  | 2 204 200                           | C00/             | 2 200 420                 | 440.070                 |
| NET APPROPRIATION   | 3,204,592                      | 2,547,733                         | 3,691,000                  | 2,281,922                           | 62%              | 3,280,130                 | 410,870                 |

# #6a2 Fund Condition

### **0108 - Acupuncture Analysis of Fund Condition**

(Dollars in Thousands)

Prepared 1/5/2017

| 2017-18 Governor's Budget   |                 |                 | В               | udget<br>Act |                 |              |
|---|-----------------|-----------------|-----------------|--------------|-----------------|--------------|
|   |                 | TUALS<br>015-16 | 20              | CY<br>016-17 | 20              | BY<br>017-18 |
| BEGINNING BALANCE   | \$              | 1,921           | \$              | 1,339        | \$              | 4,268        |
| Prior Year Adjustment Adjusted Beginning Balance                              | <u>\$</u><br>\$ | 15<br>1,936     | <u>\$</u><br>\$ | 1,339        | <u>\$</u><br>\$ | 4,268        |
| REVENUES AND TRANSFERS  |                 |                 |                 |              |                 |              |
| Revenues:   |                 |                 |                 |              |                 |              |
| 125600 Other regulatory fees  | \$              | 49              | \$              | 48           | \$              | 50           |
| 125700 Other regulatory licenses and permits                                  | \$              | 750             | \$              | 817          | \$              | 862          |
| 125800 Renewal fees   | \$              | 1,788           | \$              | 1,907        | \$              | 1,823        |
| 125900 Delinquent fees  | \$              | 13              | \$              | 14           | \$              | 14           |
| 141200 Sales of documents   | \$              | -               | \$              | -            | \$              | -            |
| 142500 Miscellaneous services to the public                                   | \$              | 4               | \$              | 4            | \$              | 4            |
| 150300 Income from surplus money investments                                  | \$              | 8               | \$              | 13           | \$              | 9            |
| 150500 Interest Income From Interfund Loans                                   | \$              | -               | \$              | -            | \$              | -            |
| 160400 Sale of fixed assets   | \$<br>\$        | - 4             | \$              | - 1          | \$              | - 4          |
| 161000 Escheat of unclaimed checks and warrants 161400 Miscellaneous revenues |                 | 1               | \$              | 1            | \$<br>\$        | 1            |
| Totals, Revenues  | <u>\$</u><br>\$ | 2,613           | <u>\$</u><br>\$ | 2,804        | \$              | 2,763        |
| Totals, Nevertues   | Ψ               | 2,010           | Ψ               | 2,004        | Ψ               | 2,700        |
| Transfers from Other Funds  |                 |                 |                 |              |                 |              |
| F00683 Teale Data Center (CS 15.00, Bud Act 2005)                             | \$              | -               | \$              | -            | \$              | -            |
| GF loan repayment   | \$              | -               | \$              | -            | \$              | -            |
| Proposed GF 11-12 Loan Repayment, 1110-011-0108 Budget Act                    | \$              | -               | \$              | 4,000        | \$              | -            |
| Totals, Revenues and Transfers  | \$              | 2,613           | \$              | 6,804        | \$              | 2,763        |
| Totals, Resources   | \$              | 4,549           | \$              | 8,143        | \$              | 7,031        |
| EXPENDITURES  |                 |                 |                 |              |                 |              |
| Disbursements:  |                 |                 |                 |              |                 |              |
| 1110 - Program Expenditures (State Operations)                                | \$              | 3,205           | \$              | -            | \$              | -            |
| 1111 - Department of Conusmer Affairs (State Operations)                      | \$              | -               | \$              | 3,691        | \$              | 3,238        |
| 8880 - Financial Information System for California                            | \$              | 5               | \$              | 4            | \$              | 6            |
| 9900 - Statewide General Administrative Expenditures (ProRata)                | \$              | -               | \$              | 180          | \$              | 238          |
| Total Disbursements   | \$              | 3,210           | \$              | 3,875        | \$              | 3,482        |
| FUND BALANCE  |                 |                 |                 |              |                 |              |
| Reserve for economic uncertainties  | \$              | 1,339           | \$              | 4,268        | \$              | 3,549        |
| Months in Reserve   |                 | 4.1             |                 | 14.7         |                 | 12.0         |

NOTES: A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ON-GOING.

B. ASSUMES APPROPRIATION GROWTH OF 2% PER YEAR BEGINNING BY+1.

C. ASSUMES INTEREST RATE AT 0.3%

### #6a3

March 21, 2017 CALE Examination Statistics

|  | 1st TIME TAKERS |          |        | OVERALL (includes re-examinees) |          |        |
|--|-----------------|----------|--------|---------------------------------|----------|--------|
| SCHOOL   | #Passed         | # Failed | PASS % | # Passed                        | # Failed | PASS % |
| Academy of Chinese Culture & Health Sciences             | 22              | 1        | 96%    | 23                              | 7        | 77%    |
| Acupuncture & Integrative Medicine College, Berkeley     | 12              | 4        | 75%    | 12                              | 9        | 57%    |
| Alhambra Medical University                              | 5               | 1        | 83%    | 6                               | 6        | 50%    |
| American College of Acupuncture and Oriental Medicine    | 2               | 0        | 100%   | 2                               | 0        | 100%   |
| American College of Traditional Chinese Medicine at CIIS | 15              | 3        | 83%    | 16                              | 5        | 76%    |
| Atlantic Institute of Oriental Medicine                  | 1               | 1        | 50%    | 1                               | 1        | 50%    |
| California Trinity University                            | 0               | 0        | N/A    | 1                               | 5        | 17%    |
| Dong-guk University, California                          | 5               | 3        | 63%    | 12                              | 20       | 38%    |
| Emperor's College of Traditional Oriental Medicine       | 16              | 0        | 100%   | 21                              | 3        | 88%    |
| Five Branches University                                 | 22              | 2        | 92%    | 25                              | 7        | 78%    |
| Golden State University                                  | 0               | 0        | N/A    | 1                               | 0        | 100%   |
| Kingston University                                      | 0               | 1        | 0%     | 0                               | 2        | 0%     |
| National College of Naturopathic Medicine                | 2               | 0        | 100%   | 2                               | 0        | 100%   |
| New England School of Acupuncture                        | 0               | 1        | 0%     | 0                               | 1        | 0%     |
| New York College of Health Professions                   | 1               | 0        | 100%   | 1                               | 0        | 100%   |
| Nine Star University of Health Sciences                  | 4               | 0        | 100%   | 6                               | 2        | 75%    |
| Oregon College of Oriental Medicine                      | 2               | 0        | 100%   | 2                               | 0        | 100%   |
| Pacific College of Oriental Medicine                     | 42              | 3        | 93%    | 47                              | 6        | 89%    |
| Samra University   | 0               | 0        | N/A    | 0                               | 2        | 0%     |
| South Baylo University                                   | 37              | 25       | 60%    | 52                              | 62       | 46%    |
| Southern California University of Health Sciences        | 2               | 0        | 100%   | 5                               | 4        | 56%    |
| Southern CA Univ. School of OM & Acupuncture             | 4               | 3        | 57%    | 5                               | 5        | 50%    |
| Southwest Acupuncture College                            | 1               | 0        | 100%   | 1                               | 0        | 100%   |
| St. Luke   | 0               | 0        | N/A    | 1                               | 0        | 100%   |
| Stanton University                                       | 0               | 6        | 0%     | 1                               | 11       | 8%     |
| Maryland University of Integrative Health                | 0               | 0        | N/A    | 0                               | 1        | 0%     |
| University of East West Medicine                         | 14              | 4        | 78%    | 17                              | 13       | 57%    |
| University of South Los Angeles                          | 0               | 0        | N/A    | 0                               | 1        | 0%     |
| YoSan University of TCM                                  | 15              | 2        | 88%    | 19                              | 4        | 83%    |
| Tutorials  | 1               | 1        | 50%    | 2                               | 3        | 40%    |
| Foreign Equivalency                                      | 7               | 3        | 70%    | 10                              | 13       | 43%    |
| GRAND TOTAL  | 232             | 64       | 78%    | 291                             | 193      | 60%    |

| MARCH 21, 2017 EXAMINATION RES                          | ULTS ST  | ATISTIC  | S - FIRST | TIME, RE | TAKER    | AND OVE                           | RALL     |           |        |
|---|----------|----------|-----------|----------|----------|-----------------------------------|----------|-----------|--------|
| SCHOOL  | 151      | TIME TA  | KERS      | Retakers |          | IERS Retakers OVERALL (in examine |          | RALL (inc |        |
|   | # Passed | # Failed | PASS %    | # Passed | # Failed | PASS %                            | # Passed | # Failed  | PASS % |
| Academy of Chinese Culture & Health Sciences            | 22       | 1        | 96%       | 1        | 6        | 14%                               | 23       | 7         | 77%    |
| Acupuncture & Integrative Medicine College, Berkeley    | 12       | 4        | 75%       | 0        | 5        | 0%                                | 12       | 9         | 57%    |
| Alhambra Medical University                             | 5        | 1        | 83%       | 1        | 5        | 17%                               | 6        | 6         | 50%    |
| American College of Acupuncture and Oriental Medicine   | 2        | 0        | 100%      | 0        | 0        | N/A                               | 2        | 0         | 100%   |
| American College of Traditional Chinese Medicine (CIIS) | 15       | 3        | 83%       | 1        | 2        | 33%                               | 16       | 5         | 76%    |
| Atlantic Institute of Oriental Medicine                 | 1        | 1        | N/A       | 0        | 0        | N/A                               | 1        | 1         | 50%    |
| California Trinity University                           | 0        | 0        | N/A       | 1        | 5        | 17%                               | 1        | 5         | 17%    |
| Dong-guk University, California                         | 5        | 3        | 63%       | 7        | 17       | 29%                               | 12       | 20        | 38%    |
| Emperor's College of Traditional Oriental Medicine      | 16       | 0        | 100%      | 5        | .3       | 63%                               | 21       | 3         | 88%    |
| Five Branches University                                | 22       | 2        | 92%       | 3        | 5        | 38%                               | 25       | 7         | 78%    |
| Golden State University                                 | 0        | 0        | N/A       | 1        | 0        | 100%                              | 1        | 0         | 100%   |
| Kingston University                                     | 0        | 1        | 0%        | 0        | 1        | 0%                                | 0        | 2         | 0%     |
| National College of Naturopathic Medicine               | 2        | 0        | 100%      | 0        | 0        | N/A                               | 2        | 0         | 100%   |
| New England School of Acupuncture                       | 0        | 1        | 0%        | 0        | 0        | N/A                               | 0        | 1         | 0%     |
| New York College of Health Professions                  | 1        | 0        | 100%      | 0        | 0        | N/A                               | 1        | 0         | 100%   |
| Nine Star University of Health Sciences                 | 4        | 0        | 100%      | 2        | 2        | 50%                               | 6        | 2         | 75%    |
| Oregon College of Oriental Medicine                     | 2        | 0        | 100%      | 0        | 0        | N/A                               | 2        | 0         | 100%   |
| Pacific College of Oriental Medicine                    | 42       | 3        | 93%       | 5        | 3        | 63%                               | 47       | 6         | 89%    |
| Samra University  | 0        | 0        | N/A       | 0        | 2        | 0%                                | 0        | 2         | 0%     |
| South Baylo University                                  | 37       | 25       | 60%       | 15       | 37       | 29%                               | 52       | 62        | 46%    |
| Southern California University of Health Sciences       | 2        | 0        | 100%      | 3        | 4        | 43%                               | 5        | 4         | 56%    |
| Southern CA Univ. School of OM & Acupuncture            | 4        | 3        | 57%       | 1        | 2        | 33%                               | 5        | 5         | 50%    |
| Southwest Acupuncture College                           | 1        | 0        | 100%      | 0        | 0        | N/A                               | 1        | 0         | 100%   |
| St. Luke  | 0        | 0        | N/A       | 1        | 0        | 100%                              | 1        | 0         | 100%   |
| Stanton University                                      | 0        | 6        | 0%        | 1        | 5        | 17%                               | 1        | 11        | 8%     |
| Maryland University of Integrative Health               | 0        | 0        | N/A       | 0        | 1        | 0%                                | 0        | 1         | 0%     |
| University of East West Medicine                        | 14       | 4        | 81%       | 3        | 9        | 25%                               | 17       | 13        | 57%    |
| University of South Los Angeles                         | 0        | 0        | N/A       | 0        | 1        | 0%                                | 0        | 1         | 0%     |
| YoSan University of TCM                                 | 15       | 2        | 88%       | 4        | 2        | 67%                               | 19       | 4         | 83%    |
| Tutorials   | 1        | 1        | 50%       | 1        | 2        | 33%                               | 2        | 3         | 40%    |
| Foreign Equivalency                                     | 7        | 3        | 70%       | 3        | 10       | 23%                               | 10       | 13        | 43%    |
| GRAND TOTAL   | 232      | 64       | 78%       | 59       | 129      | 31%                               | 291      | 193       | 60%    |

|  | California | Acupuncture Bo   | ard    |             |
|--|------------|------------------|--------|-------------|
|  | March 2017 | Examination Re   | sults  |             |
| ************************************** | Statist    | tics by Language |        | Harris III. |
|  |            |                  |        |             |
|  | FIRST 1    | TIME TAKERS ONLY |        |             |
|  | ENGLISH    | CHINESE          | KOREAN | TOTAL       |
| PASSED                                 | 168        | 34               | 30     | 232         |
| FAILED                                 | 33         | 10               | 21     | 64          |
| TOTAL                                  | 201        | 44               | 51     | 296         |
| PASS RATE                              | 84%        | 77%              | 59%    | 78%         |
|  | PE-E       | XAMINEES ONLY    |        |             |
|  | ENGLISH    | CHINESE          | KOREAN | TOTAL       |
| PASSED                                 | 33         | 9                | 17     | 59          |
| FAILED                                 | 76         | 31               | 22     | 129         |
| TOTAL                                  | 109        | 40               | 39     | 188         |
| PASS RATE                              | 30%        | 23%              | 44%    | 31%         |
|  |            | OVERALL          |        |             |
|  | ENGLISH    | CHINESE          | KOREAN | TOTAL       |
| PASSED                                 | 201        | 43               | 47     | 291         |
| FAILED                                 | 109        | 41               | 43     | 193         |
| TOTAL                                  | 310        | 84               | 90     | 484         |
| PASS RATE                              | 65%        | 51%              | 52%    | 60%         |

### #6a6

2016 – 2017 Accomplishments Letter



1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 www.acupuncture.ca.gov



April 14, 2017

The Honorable Jerry Hill, Chair The Honorable Patricia C. Bates, Vice Chair Senate Business, Professions and Economic Development Committee State Capitol, Room 2053 Sacramento, CA 95814

The Honorable Rudy Salas, Chair The Honorable William Brough, Vice Chair Assembly Committee on Business and Professions 1020 N Street, Room 383 Sacramento, CA 95814

#### RE: California Acupuncture Board 2016-2017 Accomplishments

Dear Senator Hill, Senator Bates, Assemblymember Salas, and Assemblymember Brough,

At the Review of the California Acupuncture Board (Board) at the Joint Sunset Review Oversight Hearing (Sunset Hearing) held by the Senate Business, Professions, and Economic Development Committee and the Assembly Committee on Business and Professions (collectively, Joint Committee) on March 14, 2016, Senator Bates requested that the Board provide the Joint Committee with an update regarding the Board's progress following one year. Accordingly, please allow this correspondence to provide you with an overview of the Board's work since that time.

Overall, the Board has noted considerable improvement from its position at the Sunset Hearing. The Board has enjoyed increased collaboration with the Legislature, stakeholders, and colleagues within the Department of Consumer Affairs (DCA), and has received commendation from the public for its thorough evaluation and responsiveness to the public's concerns. Its accomplishments also include measureable progress in the key areas of Legislative, Regulations/Policy, Board, Exams, Enforcement, Education, and Licensing, as further detailed below:

#### **Legislative**

- Per AB 2190 (Salas, Chapter 667, Statutes of 2016), the Board's sunset date was extended two years to January 1, 2019.
- Board Staff worked extensively with the Senate Committee's and the Assembly Committee's consultants to include two amendments to AB 2190 allowing the grandfathering of currently approved training programs and providing

- specifications to the requirements for the evaluation of foreign transcripts for applicants seeking to practice in California.
- On June 8, 2016, Board Staff testified before the Assembly Committee on the Board's actions to combat human trafficking.

#### **Regulations/Policy**

- The Board's regulations for implementing Business and Professions Code (BPC) Section 138 (Notice to Consumers of Licensure by the Acupuncture Board) became effective as of October 1, 2016.
- The Board's regulations to implement the Consumer Protection Enforcement Initiative (CPEI) went into effect on October 1, 2015. The Board implemented outreach to all licensees notifying them of the CPEI regulation's requirements in winter 2016.
- The Board has worked extensively with all stakeholders over several hearings and public Board meetings to revise and finalize regulations to implement the Board's 2015 Sunset Bill SB 1246 (Lieu, Chapter 497, Statutes of 2014). The regulations include new approved training program curriculum approval requirements. This regulatory package has been submitted for approval by the DCA and the Office of Administrative Law (OAL) with an expected implementation date of by summer 2017.
- The Board submitted the regulatory package for Uniform Standards Related to Substance Abuse with an expected implementation date of fall 2017.
- The Board approved a regulatory package at the February 24, 2017 public meeting to update the Board's Disciplinary Guidelines, which have not been updated since 1996. After initial approval from DCA and the Business, Consumer Services and Housing Agency, this regulatory package will be filed with OAL in fall 2017 with an expected implementation date of spring 2018.
- The Board approved a regulatory package for AB 2699 Free and Sponsored Healthcare Events – (Bass, Chapter 270, Statutes of 2010). The Board has made further changes to the proposed regulation at OAL's request, and has resubmitted the revised proposed regulation to DCA and OAL for approval. The expected implementation date for this regulatory package is summer 2017.
- The Board's Education Committee is currently considering revised language for Continuing Education requirements in Ethics as a condition of licensure renewal.
   It is expected to be reported to the full Board at the public meeting scheduled for September 8, 2017.

#### **Board**

 The Board and its Staff continued its work to implement the Board's 2013-2017 Strategic Plan. As reported at the December 14, 2016 Board Meeting, most Strategic Plan items have been completed with the remaining items in progress. The Board will begin developing its 2018 – 2022 Strategic Plan in September 2017.

- The Board held ten Board Meetings in 2016, including six full Board Meetings and four committee meetings (please note that BPC § 101.7 requires a minimum of three meetings per year).
- The Board hosted several informational presentations at its Board Meetings delivered by representatives from the DCA, including the Office of Professional Examination Services, Legal Affairs, the Division of Investigation, and the Budget Office to refresh the Board and the public on the Board's roles and responsibilities as a regulatory body.
- The Board has engaged in outreach to connect with all stakeholders (including acupuncture training programs and professional associations) by:
  - Updating its list of professional acupuncture associations on its website (www.acupuncture.ca.gov);
  - Holding Board Meetings at approved acupuncture training programs in California to offer students an opportunity to view and participate in the process;
  - Attending community events to educate the public on its functions;
  - Working with the DCA's Strategic Planning and Development Unit (SOLID) to host a stakeholder meeting in summer 2017 to discuss and receive input on Strategic Plan goals in education.
- The Board received a new licensed Board Member Appointment, Dr. Amy Matecki, MD, and is now fully constituted with seven members.
- The Board appointed Acting, then Interim, and now official Executive Officer Benjamin Bodea, who has served in several capacities on the Board since his hiring in January 2008.
- The Board filled two Staff Services Analyst (SSA) vacancies for an Exam Analyst and an Education Analyst.
- The Board submitted two Budget Change Proposals (BCPs) requesting funding for one Assistant Executive Officer, one enforcement analyst, two enforcement technicians, and licensing analyst to support the Board's increased work to ensure compliance with laws and regulations.
- The Acupuncture Board has joined the DCA's Mentorship Program. Member Boards/Programs give their staff access to managers at other participating DCA Boards and Programs to support and encourage career growth.
- The Board has increased collaboration with other consumer boards on issues of mutual interest and overlapping authority/scope.
- Board Staff has redesigned the layout of the office resources for greater access, utility, space, organization, and accountability, in order to increase staff productivity and efficiency.
- The Board has revised formatting for Meeting Minutes for improved clarity and utility to the Board and the public.
- The Board is currently scheduled to receive a \$4 million General Fund loan repayment in 2016-17 and \$1 million in 2018-19.

#### **Exams**

- The Board completed the audit of the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) in fall 2015. The Board reviewed the Audit of the NCCAOM exams (spanning two Board Meetings) February 26, 2016 and June 10, 2016.
- After extensive Board discussion and public comment, the Board approved utilization of the NCCAOM exams as part of the Licensing requirements, along with a California supplemental exam, pending the Office of Professional Examination Service's (OPES) review of NCCAOM's 2016-17 Job-Task Analysis. The Board notified the Legislature of its recommendation in a letter to the Committees on July 8, 2016.
- The Board successfully conducted two California Acupuncture Licensing Examinations - March 16, 2016 in Ontario, California, and August 10, 2016 in Sacramento, California.

#### **Enforcement**

- The Board is meeting its performance measure targets in the areas of complaint intake, investigations, probation intake, and probation violation response time.
  - The Board outperformed its 200-day measure performance target for the overall average for the investigation cycle time for cases resulting in no discipline:
    - From April 2015 to March 2016 the overall average was 235 days;
    - From April 2016 to March 2017 the overall average was 157 days.
  - The Board outperformed its 10-day performance measure target for average complaint intake time:
    - From April 2015 to March 2016, the overall average intake time was six days;
    - From April 2016 to March 2017, the overall average intake time was four days.
  - The Board outperformed its 10-day performance measure target for overall average cycle time for probation intake:
    - From April 2015 to March 2016 the overall average was four days;
    - From April 2016 to March 2017 the overall average was three days.
  - The Board outperformed its 10-day performance measure target for overall average cycle time for probation violation response time:
    - From April 2015 to March 2016 the overall average was four days;
    - From April 2016 to March 2017 the overall average was two days.
- The Board streamlined the process involved for negotiating stipulations and communications with the Office of the Attorney General.
- The Board noted improvement in meeting its 540-day performance measure target for overall average cycle time for cases that resulted in discipline:
  - From April 2015 to March 2016 the overall average was 1040 days;
  - o From April 2016 to March 2017 the overall average was 936 days.

Backlogged cases were being closed this period, so a significant drop in the overall average will likely be seen in the next fiscal year of 2017-2018.

- The Board streamlined processing for Continuing Education (CE) citations and CE audits from initial review to Enforcement referral. The process and considerations for assessing fines and order of abatements were updated. With the streamline in processes, Enforcement completed all reviews of the CE audits referred from Education and completed all citations as a result. During April 2016 to March 2017, the Board issued 35 citations as a result of failed CE audits.
- Board Staff has updated and implemented probation forms and tools for more effective probation monitoring.

#### **Education**

- The Board completed ten compliance site visits to approved acupuncture training programs. Nine of these were at approved training programs outside California.
- The Board completed 16 full clinical curriculum reviews as part of the comprehensive approved acupuncture training program compliance review process.
- The Board improved its application process and reporting format for the acupuncture training curriculum as required by SB 1246 (Lieu, Chapter 497, Statutes of 2014).
- The Board has worked closely with the Bureau for Private and Postsecondary Education (BPPE) to prepare for the new training program approval process beginning January 1, 2017.
- The Board has worked closely with the Accreditation Commission for Acupuncture and Oriental Medicine (ACAOM) to prepare for the new approval process beginning January 1, 2017.
- The Board has worked collaboratively with ACAOM and BPPE conducting three joint enforcement visits to approved acupuncture training programs in the last year.
- The Board has approved two new Acupuncture Training programs.
- The Board has developed a "Frequently Asked Questions" resource for continuing education providers.

#### Licensing

- The Board developed procedures to streamline and fast track the processing of applications for licensure of veterans as a result of SB 1226 (Correa, Chapter 657, Statutes of 2014), AB 1588 (Atkins, Chapter 742, Statutes of 2012) and AB 1904 (Block, Chapter 399, Statutes of 2012).
- The Board streamlined licensing processes to reduce new license processing times from:

FY 13/14: 11.46 daysFY 14/15: 9.26 days

o FY 15/16: 2.0 days

- The Board entered all out-of-state licensees and applicants into the National Practitioner Database (NPDB) addressing the 2016 Sunset Review Joint Committee's concern.
- The Board conducted a review to ensure that all active licensees are in compliance with the fingerprinting requirements.

We look forward to keeping in communication with you regarding our work, and continuing to advance our ultimate goal of protecting the public. Should you need anything further, please contact Executive Officer Ben Bodea at 916-515-5208 or <a href="mailto:Ben.Bodea@dca.ca.gov">Ben.Bodea@dca.ca.gov</a>.

Kind Regards,

Hildegarde B. Aguinaldo,

AlionSult-

President, California Acupuncture Board

Cc: Governor Edmund G. Brown Jr., State of California Bill Gage, Senate BP&ED Chief Consultant Dr. Le Ondra Clark-Harvey, Assembly B&P Chief Consultant Dean R. Grafilo, Director, California Department of Consumer Affairs

### #6b

### **Enforcement Report**





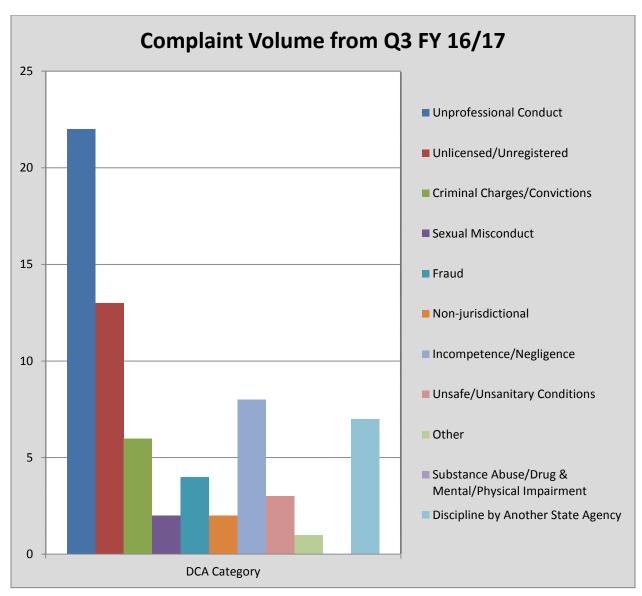
1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 <a href="https://www.acupuncture.ca.gov">www.acupuncture.ca.gov</a>

| DATE    | May 5, 2017   |
|---------|---|
| ТО      | All Board Members   |
| FROM    | Kristine Brothers Enforcement Coordinator   |
| SUBJECT | Enforcement Update for Quarter (Q3) FY 2016/2017: January 1, 2017 to March 31, 2017 |

#### **COMPLAINTS/CONVICTIONS & ARRESTS**

| DCA Category                                      | Received | Closed/Referred to Investigation |
|---|----------|----------------------------------|
| Unprofessional Conduct                            | 22       | 24                               |
| Unlicensed/Unregistered                           | 13       | 14                               |
| Criminal Charges/Convictions*                     | 6        | 8                                |
| Sexual Misconduct                                 | 2        | 2                                |
| Fraud   | 4        | 11                               |
| Non-jurisdictional                                | 2        | 2                                |
| Incompetence/Negligence                           | 8        | 8                                |
| Unsafe/Unsanitary Conditions                      | 3        | 3                                |
| Other   | 1        | 1                                |
| Substance Abuse/Drug & Mental/Physical Impairment | 0        | 0                                |
| Discipline by Another State Agency                | 7        | 8                                |
| Total   | 68       | 81                               |
| Average Intake Time: 5 days                       |          |                                  |

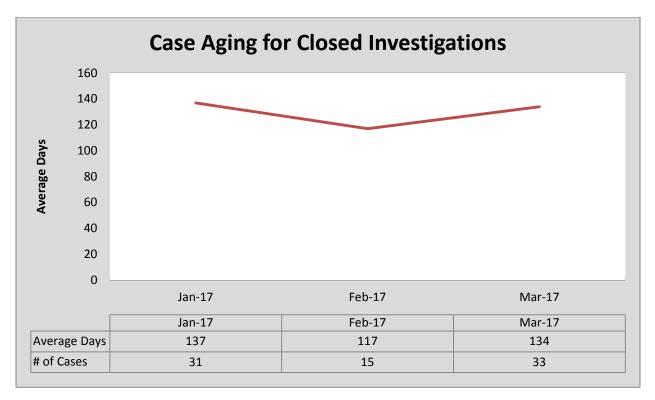
<sup>\*</sup>Of the 6 Criminal Charges/Convictions, 2 were received on Applicants and 4 were received on Licensees.



The bar graph above shows the number of complaints received by complaint type for this quarter. When each complaint is logged into the database it is assigned a complaint type based upon the primary violation.

INVESTIGATIONS - Includes formal investigations conducted by DOI and desk investigations conducted by staff

| DCA Category                                      | Initiated | Pending | Closed |
|---|-----------|---------|--------|
| Unprofessional Conduct                            | 24        | 26      | 23     |
| Unlicensed/Unregistered                           | 14        | 28      | 8      |
| Criminal Charges/Convictions (includes            |           |         |        |
| pre-licensure)                                    | 8         | 19      | 23     |
| Sexual Misconduct                                 | 2         | 5       | 4      |
| Fraud   | 11        | 15      | 7      |
| Non-jurisdictional                                | 0         | 0       | 0      |
| Incompetence/Negligence                           | 8         | 28      | 6      |
| Unsafe/Unsanitary Conditions                      | 3         | 5       | 3      |
| Other   | 1         | 2       | 1      |
| Substance Abuse/Drug & Mental/Physical Impairment | 0         | 0       | 0      |
| Discipline by Another State Agency                | 8         | 6       | 3      |
| Total   | 79        | 134     | 78     |
| Average days                                      |           |         | 119    |



The graph above shows the number of investigations closed out each month of this quarter. The line illustrates the average number of days the case was open from receipt of complaint to the date the investigative phase was closed. After the investigation is closed the case is either referred for disciplinary action, issued a citation, or closed due to insufficient evidence or no violation. The time it takes during the discipline phase is not captured in these averages. The overall average process time for cases that resulted in disciplinary action this quarter is shown below.

#### **DISCIPLINARY ACTIONS**

| Requested                               | 3         |
|---|-----------|
| Pending                                 | 12        |
| Accusation/SOI Filed                    | 1         |
| Decisions                               | 6         |
| Revoked                                 | 0         |
| <ul> <li>Voluntary Surrender</li> </ul> | 1         |
| Probation                               | 5         |
| License Denied                          | 0         |
| Public Reprimand                        | 0         |
| Avg. Overall Process Time               | 820 days* |
| Citations Issued                        | 11        |
| Open Probation Cases                    | 41        |

<sup>\*</sup>Only applies to cases that result in formal discipline through a Decision and Order, not all case closures.

#### **QUARTER 3 FY 16/17 TREND ANALYSIS**

#### **Complaint Trends**

This quarter's data is better understood when we use last year's FY 15/16 quarter 3 (Q3) data as a point of reference.

This quarter saw a decrease in complaints compared to this time last year from 84 to 68. This represents a 19 % decrease in volume. The most notable changes in the types of complaints received were found in unprofessional conduct complaints, which decreased from 52 to 22. The other noteworthy change in complaint volume between last year and this year are for unlicensed complaints. Last year only four (4) were received whereas this year's Q3 received 13, which is a 225% increase. The average intake time for complaints received has consistently been under its target of ten (10) days for this performance measure. This quarter the average intake time was five (5) days.

#### **Investigation Trends**

In comparing last year's investigation statistics to this year, the most noteworthy change is found in the number of total investigations pending and closed. The data shows that Staff has focused its efforts on efficiently processing investigations and reviews. Although there is a downturn in investigations initiated given the decrease in complaint volume, you can see that the investigations pending at the close of Q3 has gone down significantly. There were 204 investigations pending last year whereas this year at the close of Q3 there were 134 investigations pending, which is a 34% decrease. In addition, Staff has closed out more investigations this year in Q3 versus last year. This year 78 investigations were closed compared to 68 investigations last year, which is a 15% increase in closures. At the start of the year Staff saw a surge in formal investigations getting referred back to the Board for review. All of those formal investigations were quickly and thoroughly reviewed, and appropriate actions or closures were processed. The other noteworthy change is the average investigation time decreasing by 44% from 213 days last year to 119 days this year. Since the start of fiscal year 16/17 the Board has consistently been meeting its 200 day target for the performance measure on the average number of days to complete an investigation.

#### **Formal Discipline Trends**

This quarter continues to show that the majority of the backlog from prior years has been worked through or are pending with the Attorney General's Office. Complaints referred to the Attorney General for discipline went from eight (8) in Q3 last year to three (3) this year, resulting in a 63% decrease. Last year seven (7) Accusations and Statement of Issues were filed whereas this year in Q3 there was only one (1) Accusation filed. This represents an 86% decrease. The discipline statistics show that referrals for discipline have slowed significantly. Another change in disciplinary statistics is the number of cases pending with the Attorney General's Office. Last year in Q3 there were 29 cases pending; however, this year there were 12 cases pending at the close of Q3. This is a 59% decrease. The volume of decisions that became effective last year to this year is relatively similar. The average overall process time from complaint receipt to the close of discipline continues to show no real trend. This statistic is dependent on the complexity of the case or cases that close out in a particular quarter. If one or a few complex cases close out in one quarter versus another quarter where less complex cases close out, the average overall process time widely varies between quarters. Therefore, the Board has yet to see any consistency with this statistic.

### #6b3

### Standardized 801 reporting memo and 801 Report form draft





1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 <a href="https://www.acupuncture.ca.gov">www.acupuncture.ca.gov</a>

| DATE    | May 5, 2017  |
|---------|--|
| то      | Board Members  |
| FROM    | Kristine Brothers Enforcement Coordinator            |
| SUBJECT | Informational Item Standardized Malpractice 801 Form |

#### Issue:

The Board will be posting a form on its website for insurers to report settlement, judgments, and arbitration awards to the Board. No Board action is required.

#### **Background/Discussion:**

The Senate Committee on Business, Professions and Economic Development issued a "Background Paper for the California Acupuncture Board" after the Sunset Review hearing held March 12, 2012 directing the Board to develop a form to standardize 801 reports. Business and Professions Code (BPC) Section 801(a) requires every insurer providing professional liability insurance to a licensed acupuncturist to send a complete report to the Board as to any settlement or arbitration award over \$3,000 of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or by his or her rending of unauthorized professional services.

Initially, there was confusion among staff if a legislative change or regulatory rulemaking package were required to mandate insurers to report on a standardized form developed by the Board. Legal Counsel has determined that simply providing a form for insurers' use in compliance with BPC 801(a) does not require legislation or a regulatory package.

Currently, the Board may not be receiving all settlements or judgments that amount to damages of \$3,000 or more for claims involving injury or death caused by a licensed acupuncturist. There is not a streamlined process for insurers or practitioners to report such judgments and settlements to the Board. The Board has developed a standardized form under the authority of BPC Section 801(a) to post on the Board's website for insurers' use when reporting malpractice settlements, judgments, and arbitration awards. The form is based upon the California Medical Board's existing 801 Form. Providing insurers with a standardized form for reporting malpractice cases promotes compliance with BPC 801 which will improve and enhance the Board's enforcement and protection of public safety.

The attached Standardized Malpractice 801 Form will be posted to the website following the Board meeting.

Attachment: Standardized Malpractice 801 Form





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#### REPORT OF SETTLEMENT, JUDGMENT OR ARBITRATION AWARD OVER \$3,000

In Reference to Business and Professions Code Section 801

#### **PLEASE PRINT OR TYPE**

| REPORTING ENTITY:  |  |   |           |
|--|--|---|-----------|
|  | Name of Person Preparing<br>Felephone: | Report:   |           |
| PROVIDER:  |  |   |           |
| Name:Address:  |  | sel Name:sel Address:sel                                      |           |
| License Number:  |  | sel Telephone:  |           |
| PLAINTIFF/CLAIMANT:  |  |   |           |
| Name: Address:  Relationship to Patient: Patient Name: Patient Date of Birth:  Deceased? □Yes □No Medical Record Number (if applicable): Date of Occurrence: Hospital Name: Hospital Address:  Case Resulted in: (Check one) | Plaintiff's Coun Plaintiff's Coun      | sel Name: sel Address: sel Telephone:  Total Amount of Award: |           |
| ☐ Settlement ☐ Judgment ☐ Arbitration Award  |  | \$  | Provider: |
| Name and Location of Court/Arbitrator:   | Filing Date:                           | Docket Number:  |           |
| I certify under penalty of perjury under the laws of the provided within this report and any attachments is true.  Rev 08/2016   | e and correct.                         |   |           |
|  | Signature of Preparer                  | Dat   | te        |

#### REPORT OF SETTLEMENT, JUDGMENT OR ARBITRATION AWARD continued

| Include a comprehensive summary of the facts, including the date of occurrence and whether a death occurred, and the role of the provider(s) in the care or professional services provided to the patient with respect to those services at issue in the |
|--|
| claim or action (Attach additional pages if necessary):  |
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### #6c

### **Education Report**





1747 North Market Boulevard, Suite 180, Sacramento, CA 95834 (916) 515-5200 FAX (916) 928-2204 <a href="https://www.acupuncture.ca.gov">www.acupuncture.ca.gov</a>

| DATE    | May 15, 2017                          |  |  |
|---------|---------------------------------------|--|--|
| то      | Board Members                         |  |  |
| FROM    | ROM Jay Herdt – Education Coordinator |  |  |
| SUBJECT | SUBJECT Education Staff Report        |  |  |

There are currently thirty-two (32) Acupuncture Board (Board) approved acupuncture training programs.

#### **Compliance Status as of May 2017:**

- The Board has completed site visits and has obtained current CCR §1399.434 curriculum from all of the board approved training programs.
- 17 programs are in full compliance:
  - 1. Alhambra Medical University
  - 2. American College of Traditional Chinese Medicine
  - 3. Atlantic Institute of Oriental Medicine
  - 4. College of Eastern Medicine at Southern California University
  - 5. Five Branches University, Santa Cruz
  - 6. Five Branches University, San Jose
  - 7. Institute of Clinical Acupuncture and Oriental Medicine, Honolulu HI
  - 8. Kingston University
  - 9. Maryland University of Integrative Health, Laurel, MA
  - 10. Oregon College of Oriental Medicine
  - 11. Pacific College of Oriental Medicine, New York
  - 12. Pacific College of Oriental Medicine, San Diego
  - 13. Phoenix Institute of Herbal Medicine and Acupuncture
  - 14. College of Eastern Medicine at Southern California University of Health Sciences
  - 15. University of South Los Angeles
  - 16. Yo San University
  - 17. Yuin University

- All Board approved training programs have submitted clinical corrective action plans in response to the Board's compliance site visits.
- Three training programs have completed clinical corrective actions and have had their curriculum reviewed by staff. These programs are now in the process of submitting corrective actions relating to curriculum.
- Twelve training programs have submitted clinical corrective actions and are pending review by subject matter experts.
- Two programs have lost Board approval status since the last reporting:
  - Stanton University has lost training program approval. The program chose to not pursue accreditation with the Accreditation Commission for Acupuncture and Oriental Medicine (ACAOM).
  - o California Trinity University has lost training program approval for choosing to not pursue accreditation with ACAOM.
- One program has been place on probation
  - Nine Star University of Health Science (NSUHS) program has lost pre accreditation status with ACAOM. ACAOM requires that NSUHS wait 1 year to re-apply for accreditation. As a result the Board has placed NSUHS on probation for one year.
    - During this probation :
      - NSUHS will be allowed to continue the operation of their acupuncture training clinic at all levels of supervision.
      - NSUHS students will continue to be able to qualify to sit for the California Acupuncture Licensing Exam.

#### **Continuing Education:**

The Continuing Education Coordinator position has been filled. Van Martini entered the position with significant training and prior experience and has been able to address this workload immediately. The Education Unit's continuing education section is a busy desk and welcomes the increased staffing. The Education Unit has created a FAQ for provider's reference to support and facilitate the course submission process. This document is available to view on the Board's website at: <a href="http://www.acupuncture.ca.gov/ed\_providers/cont\_edu.shtml">http://www.acupuncture.ca.gov/ed\_providers/cont\_edu.shtml</a>

Applications processed January 1 - April 10, 2017: 1220 Number of Continuing Education Providers with a current status: 542

#### **Tutorial Program Update**

Following a detailed tutorial file audit and updating there are 36 active tutorials in progress, and 4 applications in process for tutorial program approvals.

Program administration and application processing status is current.

### #6d

Regulatory Update



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#### **CAB list of past and future regulations**

Updated: May 17, 2017

Set out below are a list of past and future pending regulations. Please note this list may be incomplete and subject to change depending upon Legislative or Executive action. Due date reflects the final due date when regulatory filing is required to be submitted for rulemaking to Office of Administrative Law (OAL).

Note: Authority for regulatory changes is provided under California Business and Professions (B&P) Code Chapter 12, Article 1, Code section 4933.

|   | Pending regulations  |  |   |   |   |
|---|--|--|---|---|---|
|   | Subject  | Title 16, California<br>Code of<br>Regulations (CCR)<br>Section referred                                       | Date original<br>authorizing vote<br>taken (vote) | Current Status  | Due Dates /<br>Anticipated Filing<br>Date     |
| • | Uniform Standards Related to<br>Substance Abusing Licensees<br>(SB 1441)   | Amend Section<br>1399.469  | 10/25/2013 (5-0)                                  | Notice of Decision Not to Proceed Filed with OAL on 4/12/17. New Rulemaking package to be reviewed by Board at 05/26/17 meeting.  |   |
| 2 | Standards for the Approval of Educational Training and Clinical Experience Received Outside the United States; Curriculum Standards for Board Approval of Curriculum; Requirements for Board Approval of Curriculum. (SB 1246) | Adopt Section<br>1399.433, Amend<br>Section 1399.434,<br>Repeal Section<br>1399.436, Amend<br>Section 1399.437 | 11/17/2015 (7-0)                                  | Rulemaking file approved by DCA/Agency. Filed with OAL for approval on 04/12/17. OAL has 30 working days to approve or require changes. Regulation would be in effect upon filing with Secretary of State's office. | OAL will respond<br>no later than<br>5/24/17. |

| 3 | Sponsored Free Health-Care<br>Events (AB 2699)                          | Adopt Article 8<br>and Sections<br>1399.407,<br>1399.407.1,<br>1399.407.2,<br>1399.407.3 | 11/17/2011 (5-0) | Rulemaking file approved by DCA/Agency. Filed with OAL for approval on 04/25/17. OAL has 30 working days to approve or require changes. Regulation would be in effect upon approval from OAL. | OAL will respond<br>no later than<br>6/17/17.            |
|---|---|--|------------------|---|--|
| 4 | Advertising Guidelines –<br>Display of License Number in<br>Advertising | Adopt Section<br>1399.455  | 2/19/2013 (5-0)  | Staff revising text for Board review and possible approval at future Board meeting. If approved, a 15-day modified text notice will be issued.  | Anticipated initial filing with OAL upon Board approval. |
| 5 | Prostitution Enforcement and Condition of Office                        | Amend Section<br>1399.469.4  | 2/14/2014 (6-0)  | Board approved amended text at 12/14/16 public meeting. Rulemaking package under staff development.   | Anticipated initial filing with OAL by Fall 2017.        |
| 6 | Continuing Education Ethics<br>Requirement                              | Adopt Section<br>1399.482.2  | 11/15/2012 (5-0) | Education committee approved proposed text at 12/14/16 public meeting on a 3-1 vote and made several changes. Staff revising text to bring back to Board at future public meeting.            | Anticipated initial filing with OAL upon Board approval. |
| 7 | Hand Hygiene Requirements   | Amend Section<br>1399.451(a)   | 2/14/2014 (5-0)  | Rulemaking package under staff development.   | Anticipated initial filing with OAL by late Fall 2017.   |
| 8 | 2017 Update of Disciplinary<br>Guidelines                               | Amend Section<br>1399.469  | 02/24/2017 (7-0) | Board approved text and Guidelines at 2/24/17 public meeting. Rulemaking package under staff development.   | Anticipated initial filing with OAL by Fall 2017.        |

|   | Adopted Regulations                                       |   |   |  |  |
|---|---|---|---|--|--|
|   | Subject   | Title 16, CCR<br>Sections referred                                  | Date approved by Office of Administrative Law; date in effect with link to text of regulation   |  |  |
| 1 | Educational<br>Curriculum<br>Requirements                 | Amend Section<br>1399.415   | Approved by OAL 10/5/04 http://www.acupuncture.ca.gov/pubs_forms/laws_regs/art2.shtml#1399415   |  |  |
| 2 | Cite and Fine<br>Enforcement                              | Amend Section<br>1399.465   | Approved by OAL 4/17/06 http://www.acupuncture.ca.gov/pubs_forms/laws_regs/art6.shtml#1399465   |  |  |
| 3 | Continuing Education                                      | Amends Sections<br>1399.480 –<br>1399.489.1                         | Approved by OAL on 8/25/08 http://www.acupuncture.ca.gov/pubs_forms/laws_regs/art8.shtml#1399480  |  |  |
| 4 | Retroactive<br>Fingerprinting<br>Requirements             | Adopts Sections<br>1399.419.1 and<br>1399.419.2                     | Approved by OAL 9/23/10 http://www.acupuncture.ca.gov/pubs_forms/laws_regs/art25.shtml#13994191   |  |  |
| 5 | Consumer Protection<br>Enforcement Initiative<br>(CPEI)   | Amends Sections<br>1399.405, 1399.419,<br>1399.469.1,<br>1399.468.2 | Approved by OAL 9/1/15; in effect 10/1/2015  https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaC odeofRegulations?guid=I45A8F9C0D48E11DEBC02831C6D6C108E &originationContext=documenttoc&transitionType=Default&contextDa ta=(sc.Default) |  |  |
| 6 | Display of Licensure<br>by Acupuncture<br>Board (BPC 138) | Adopt section<br>1399.463.3   | Approved by OAL 7/12/16; in effect 10/1/2016 <a href="http://www.acupuncture.ca.gov/pubs_forms/laws_regs/bpc138_orderofadoption.pdf">http://www.acupuncture.ca.gov/pubs_forms/laws_regs/bpc138_orderofadoption.pdf</a>                                    |  |  |

# #6e

Legislative Update



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#### <u>Acupuncture Board – Legislative Bills of Interest</u> Updated: May 17, 2017

#### 1. Assembly Bill 12 (Cooley) - State government: administrative regulations: review.

<u>Status:</u> Introduced 12/5/16. In Assembly Appropriations Committee 4/5/17. Referred to suspense file.

This bill would require each state agency to, on or before January 1, 2020, review that agency's regulations, identify any regulations that are duplicative, overlapping, inconsistent, or out of date, to revise those identified regulations, as provided, and report to the Legislature and Governor, as specified. The bill would repeal these provisions on January 1, 2021.

#### 2. Assembly Bill 1005 (Calderon) – Professions and vocations: fines relief

<u>Status:</u> Introduced 2/16/17. Amended 5/2/17. In Assembly Appropriations Committee. No hearing date set.

Under existing law, any board within the Department of Consumer Affairs, the board created by the Chiropractic Initiative Act, and the Osteopathic Medical Board of California, is authorized to establish, by regulation, a system for the issuance to a licensee of a citation which may contain an order of abatement or an order to pay an administrative fine assessed by the board where the licensee is in violation of the applicable law. Existing law requires the system, whenever appropriate, to include a provision requiring the citation to contain an order of abatement fixing a reasonable time for abatement of the violation. This bill, except with regard to healing arts licensees, would instead require a citation containing an order to pay an administrative fine to contain an order of abatement fixing a period of no less than 30 days for abatement of the violation before the administrative fine becomes effective, as provided.

# 3. Assembly Bill 1190 (Obernolte) -- Department of Consumer Affairs: BreEZe system: annual report.

<u>Status:</u> Introduced 2/17/17. Amended 5/2/17. In Assembly Appropriations Committee. No hearing date set.

This bill would require the Department of Consumer Affairs to publish, a minimum of once quarterly, prescribed information relating to BreEZe on its Internet Web site, including the estimated start and completion date of the Department of Technology's Project Approval Lifecycle (PAL) process for programs that were previously scheduled for the 3rd release of BreEZe, the status of programs that have started the process, and the results and recommendations made for each program that has completed the PAL process.

# 4. Assembly Bill 1340 (Maienschein) -- Continuing medical education: mental and physical health care integration.

Status: Introduced 2/17/17. In Senate Rules committee.



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This bill would require the Medical Board to consider including in its continuing education requirements a course in integrating mental and physical health care in primary care settings, especially as it pertains to early identification of mental health issues in children and young adults and their appropriate care and treatment. The Medical Board shall consider including a course in acupuncture to be taken by those licensees whose practices may require knowledge in the area of acupuncture and whose education has not included instruction in acupuncture.

#### 5. Senate Bill 27 (Morrell) - Professions and vocations: military service

<u>Status:</u> Introduced 12/5/16. Amended 4/17/17. In Assembly Appropriations Committee on suspense file.

This bill would require every board within the Department of Consumer Affairs to grant a fee waiver for the application for and the issuance of an initial license to an applicant who supplies satisfactory evidence, as defined, to the board that the applicant has served as an active duty member of the California National Guard or the United States Armed Forces and was honorably discharged. The bill would require that a veteran be granted only one fee waiver, except as specified.

#### 6. Senate Bill 572 (Stone) - Healing arts licensees: violations: grace period

<u>Status:</u> Introduced 2/17/17. Amended 3/27/17. Referred to Senate Business, Professions and Economic Development Committee. Set for hearing 4/24/17; hearing postponed by committee.

This bill would prohibit the boards from taking disciplinary action against, or otherwise penalizing, healing arts licensees who violate those provisions but correct the violations within 15 days and who are not currently on probation at the time of the violations, if the violations did not cause irreparable harm and will not result in irreparable harm if left uncorrected for 15 days.

#### 7. Senate Bill 762 (Hernandez) -- Healing arts licensee: license activation fee: waiver

<u>Status:</u> Introduced 2/17/17. Amended 4/17/17. In Assembly Appropriations Committee on suspense file.

This bill would require the renewal fee to be waived for any healing arts licensee, including an Acupuncturist, who certifies to his or her respective board that license restoration is for the sole purpose of providing voluntary, unpaid service to a public agency, not-for-profit agency, institution, or corporation that provides medical services to indigent patients in medically underserved or critical-need population areas of the state.

# #6e1

Assembly Bill 12 (Cooley)

State government:

admin regulation: review

# Introduced by Assembly Member Cooley (Principal coauthors: Assembly Members Calderon and Cunningham)

December 5, 2016

An act to add and repeal Chapter 3.6 (commencing with Section 11366) of Part 1 of Division 3 of Title 2 of the Government Code, relating to state agency regulations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 12, as introduced, Cooley. State government: administrative regulations: review.

Existing law authorizes various state entities to adopt, amend, or repeal regulations for various specified purposes. The Administrative Procedure Act requires the Office of Administrative Law and a state agency proposing to adopt, amend, or repeal a regulation to review the proposed changes for, among other things, consistency with existing state regulations.

This bill would require each state agency to, on or before January 1, 2020, review that agency's regulations, identify any regulations that are duplicative, overlapping, inconsistent, or out of date, to revise those identified regulations, as provided, and report to the Legislature and Governor, as specified. The bill would repeal these provisions on January 1, 2021.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

 $AB 12 \qquad \qquad -2 -$ 

The people of the State of California do enact as follows:

SECTION 1. Chapter 3.6 (commencing with Section 11366) is added to Part 1 of Division 3 of Title 2 of the Government Code, to read:

#### Chapter 3.6. Regulatory Reform

#### Article 1. Findings and Declarations

- 11366. The Legislature finds and declares all of the following:
- (a) The Administrative Procedure Act (Chapter 3.5 (commencing with Section 11340), Chapter 4 (commencing with Section 11370), Chapter 4.5 (commencing with Section 11400), and Chapter 5 (commencing with Section 11500)) requires agencies and the Office of Administrative Law to review regulations to ensure their consistency with law and to consider impacts on the state's economy and businesses, including small businesses.
- (b) However, the act does not require agencies to individually review their regulations to identify overlapping, inconsistent, duplicative, or out-of-date regulations that may exist.
- (c) At a time when the state's economy is slowly recovering, unemployment and underemployment continue to affect all Californians, especially older workers and younger workers who received college degrees in the last seven years but are still awaiting their first great job, and with state government improving but in need of continued fiscal discipline, it is important that state agencies systematically undertake to identify, publicly review, and eliminate overlapping, inconsistent, duplicative, or out-of-date regulations, both to ensure they more efficiently implement and enforce laws and to reduce unnecessary and outdated rules and regulations.

#### Article 2. Definitions

- 11366.1. For the purposes of this chapter, the following definitions shall apply:
- (a) "State agency" means a state agency, as defined in Section 11000, except those state agencies or activities described in Section 11340.9.

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(b) "Regulation" has the same meaning as provided in Section 11342.600.

#### Article 3. State Agency Duties

- 11366.2. On or before January 1, 2020, each state agency shall do all of the following:
- (a) Review all provisions of the California Code of Regulations adopted by that state agency.
- (b) Identify any regulations that are duplicative, overlapping, inconsistent, or out of date.
- (c) Adopt, amend, or repeal regulations to reconcile or eliminate any duplication, overlap, inconsistencies, or out-of-date provisions, and shall comply with the process specified in Article 5 (commencing with Section 11346) of Chapter 3.5, unless the addition, revision, or deletion is without regulatory effect and may be done pursuant to Section 100 of Title 1 of the California Code of Regulations.
- (d) Hold at least one noticed public hearing, which shall be noticed on the Internet Web site of the state agency, for the purposes of accepting public comment on proposed revisions to its regulations.
- (e) Notify the appropriate policy and fiscal committees of each house of the Legislature of the revisions to regulations that the state agency proposes to make at least 30 days prior to initiating the process under Article 5 (commencing with Section 11346) of Chapter 3.5 or Section 100 of Title 1 of the California Code of Regulations.
- (g) (1) Report to the Governor and the Legislature on the state agency's compliance with this chapter, including the number and content of regulations the state agency identifies as duplicative, overlapping, inconsistent, or out of date, and the state agency's actions to address those regulations.
- (2) The report shall be submitted in compliance with Section 9795 of the Government Code.
- 11366.3. (a) On or before January 1, 2020, each agency listed in Section 12800 shall notify a department, board, or other unit within that agency of any existing regulations adopted by that department, board, or other unit that the agency has determined may be duplicative, overlapping, or inconsistent with a regulation

AB 12 —4—

adopted by another department, board, or other unit within that agency.

(b) A department, board, or other unit within an agency shall notify that agency of revisions to regulations that it proposes to make at least 90 days prior to a noticed public hearing pursuant to subdivision (d) of Section 11366.2 and at least 90 days prior to adoption, amendment, or repeal of the regulations pursuant to subdivision (c) of Section 11366.2. The agency shall review the proposed regulations and make recommendations to the department, board, or other unit within 30 days of receiving the notification regarding any duplicative, overlapping, or inconsistent regulation of another department, board, or other unit within the agency.

11366.4. An agency listed in Section 12800 shall notify a state agency of any existing regulations adopted by that agency that may duplicate, overlap, or be inconsistent with the state agency's regulations.

11366.45. This chapter shall not be construed to weaken or undermine in any manner any human health, public or worker rights, public welfare, environmental, or other protection established under statute. This chapter shall not be construed to affect the authority or requirement for an agency to adopt regulations as provided by statute. Rather, it is the intent of the Legislature to ensure that state agencies focus more efficiently and directly on their duties as prescribed by law so as to use scarce public dollars more efficiently to implement the law, while achieving equal or improved economic and public benefits.

2829 Article 4. Chapter Repeal

11366.5. This chapter shall remain in effect only until January 1, 2021, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2021, deletes or extends that date.

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# #6e2

Assembly Bill 1005 (Calderon)

Professions and vocations; fines relief

#### AMENDED IN ASSEMBLY MAY 2, 2017 AMENDED IN ASSEMBLY APRIL 17, 2017

CALIFORNIA LEGISLATURE—2017–18 REGULAR SESSION

#### ASSEMBLY BILL

No. 1005

#### **Introduced by Assembly Member Calderon**

February 16, 2017

An act to amend Section 12.5 of the Business and Professions Code, relating to professions and vocations. An act to amend Section 125.9 of the Business and Professions Code, relating to professions and vocations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 1005, as amended, Calderon. Professions and vocations: fines: relief.

Under existing law, the Department of Consumer Affairs is under the control of the Director of Consumer Affairs and is comprised of various boards, bureaus, commissions, committees, and similarly constituted agencies boards that license and regulate the practice of various professions and vocations. A violation of a regulatory act by a licensee can subject a licensee to discipline, including administrative penalties or citations, suspension, or revocation of the license. Existing law specifies that whenever any provision of law governing businesses and professions grants authority to issue a citation for a violation of a code provision, that authority also includes the authority to issue a citation for the violation of any regulation adopted pursuant to code.

This bill would authorize boards, bureaus, commissions, committees, and similarly constituted agencies that license and regulate professions and vocations, when granted the authority to issue a citation, to instead

AB 1005 -2-

issue a fix-it ticket in lieu of a fine. The bill would specify that any person who is issued a fix-it ticket in lieu of a citation would have 30 days in which to correct the violation before being issued the fine.

Under existing law, any board within the Department of Consumer Affairs, the board created by the Chiropractic Initiative Act, and the Osteopathic Medical Board of California, is authorized to establish, by regulation, a system for the issuance to a licensee of a citation which may contain an order of abatement or an order to pay an administrative fine assessed by the board where the licensee is in violation of the applicable law. Existing law requires the system, whenever appropriate, to include a provision requiring the citation to contain an order of abatement fixing a reasonable time for abatement of the violation.

This bill, except with regard to healing arts licensees, would instead require a citation containing an order to pay an administrative fine to contain an order of abatement fixing a period of no less than 30 days for abatement of the violation before the administrative fine becomes effective, as provided.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 125.9 of the Business and Professions 2 Code is amended to read:
- Code is amended to read:
   125.9. (a) Except with respect to persons regulated under
- 4 Chapter 11 (commencing with Section 7500), any board, bureau, or commission within the department, the board created by the
- or commission within the department, the board created by the Chiropractic Initiative Act, and the Osteopathic Medical Board of
- 7 California, may establish, by regulation, a system for the issuance
- to a licensee of a citation which may contain an order of abatement
- 9 or an order to pay an administrative fine assessed by the board,
  - bureau, or commission where the licensee is in violation of the applicable licensing act or any regulation adopted pursuant thereto.
    - (b) The system shall contain the following provisions:

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- (1) Citations shall be in writing and shall describe with particularity the nature of the violation, including specific reference to the provision of law determined to have been violated.
- (2) Whenever Except as provided in paragraph (3), whenever appropriate, the citation shall contain an order of abatement fixing a reasonable time for abatement of the violation.

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(3) Notwithstanding paragraph (2), except with respect to healing arts licensees licensed pursuant to Division 2 (commencing with Section 500, the board created by the Chiropractic Initiative Act, and the Osteopathic Medical Board of California, a citation containing an order to pay an administrative fine shall contain the following:

- (A) An order of abatement fixing a period of no less than 30 days for abatement of the violation before the administrative fine becomes effective.
- (B) If the licensee successfully abates the violation within the 30-day period, the licensee shall not be responsible for payment of the administrative fine.
- (C) If the licensee fails to abate the violation within the 30-day period, the licensee shall pay the administrative fine.

<del>(3)</del>

(4) In no event shall the administrative fine assessed by the board, bureau, or commission exceed five thousand dollars (\$5,000) for each inspection or each investigation made with respect to the violation, or five thousand dollars (\$5,000) for each violation or count if the violation involves fraudulent billing submitted to an insurance company, the Medi-Cal program, or Medicare. In assessing a fine, the board, bureau, or commission shall give due consideration to the appropriateness of the amount of the fine with respect to factors such as the gravity of the violation, the good faith of the licensee, and the history of previous violations.

<del>(4)</del>

(5) A citation or fine assessment issued pursuant to a citation shall inform the licensee that if he or she desires a hearing to contest the finding of a violation, that hearing shall be requested by written notice to the board, bureau, or commission within 30 days of the date of issuance of the citation or—assessment. assessment or the date the administrative fine becomes effective pursuant to paragraph (3). If a hearing is not requested pursuant to this section, payment of any fine shall not constitute an admission of the violation charged. Hearings shall be held pursuant to Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code.

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(6) Failure of a licensee to pay a fine within 30 days of the date of assessment, assessment or the date the administrative fine

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1 becomes effective pursuant to paragraph (3) unless the citation is

- 2 being appealed, may result in disciplinary action being taken by
- 3 the board, bureau, or commission. Where a citation is not contested
- 4 and a fine is not paid, the full amount of the assessed fine shall be
- 5 added to the fee for renewal of the license. A license shall not be 6 renewed without payment of the renewal fee and fine.
  - (c) The system may contain the following provisions:
  - (1) A citation may be issued without the assessment of an administrative fine.
  - (2) Assessment of administrative fines may be limited to only particular violations of the applicable licensing act.
  - (d) Notwithstanding any other provision of law, if a fine is paid to satisfy an assessment based on the finding of a violation, payment of the fine shall be represented as satisfactory resolution of the matter for purposes of public disclosure.
  - (e) Administrative fines collected pursuant to this section shall be deposited in the special fund of the particular board, bureau, or commission.
  - SECTION 1. Section 12.5 of the Business and Professions Code is amended to read:
  - 12.5. (a) Whenever any provision of this code grants authority to issue a citation for a violation of any provision of this code, that authority also includes the authority to issue a citation for the violation of any regulation adopted pursuant to any provision of this code.
  - (b) The authority to issue a citation for a violation of any provision of this code also includes the authority to issue a fix-it ticket, in lieu of a fine. Any person who is issued a fix-it ticket in lieu of a citation and fine shall have 30 days in which to correct the violation before being issued the fine.

## #6e3

Assembly Bill 1190 (Obernolte)

Department of Consumer Affairs:

BreEZe system: annual report

#### AMENDED IN ASSEMBLY MAY 2, 2017

CALIFORNIA LEGISLATURE—2017–18 REGULAR SESSION

#### **ASSEMBLY BILL**

No. 1190

#### **Introduced by Assembly Member Obernolte**

February 17, 2017

An act to add Section 210.5 to the Business and Professions Code, relating to consumer affairs.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 1190, as amended, Obernolte. Department of Consumer Affairs: BreEZe-system: annual report. system.

Existing law authorizes the Department of Consumer Affairs to enter into a contract with a vendor for the licensing and enforcement of the BreEZe system, which is a specified integrated, enterprisewide enforcement case management and licensing system, no sooner than 30 days after written notification to certain committees of the Legislature. Existing law requires the amount of contract funds for the system to be consistent with costs approved by the Department of Technology, formerly known as the office of the State Chief Information Officer, based on information provided by the department in a specified manner.

This bill on and after July 1, 2018, would require the department to submit an annual report to the Legislature that includes, among other things, the department's plans for implementing the BreEZe system at specified regulatory entities included in the department's's 3rd phase of the BreEZe implementation project, including, but not limited to, a timeline for the implementation.

This bill would require the Department of Consumer Affairs to publish, a minimum of once quarterly, prescribed information relating AB 1190 -2-

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to BreEZe on its Internet Web site, including the estimated start and completion date of the Department of Technology's Project Approval Lifecycle (PAL) process for programs that were previously scheduled for the 3rd release of BreEZe, the status of programs that have started the process, and the results and recommendations made for each program that has completed the PAL process.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 210.5 is added to the Business and 2 Professions Code, to read:
- 3 210.5. (a) In connection with the department's ongoing 4 commitment to provide quarterly and monthly updates to the 5 Legislature on the entities that were previously scheduled for the 6 third release of BreEZe, the department shall publish on its Internet 7 Web site the following:
  - (1) The estimated start and completion date of the Department of Technology's Project Approval Lifecycle process for the programs that were previously scheduled for the third release of BreEZe.
  - (2) The status of the programs that have started the project approval process, including the programs' current stage in the process.
  - (3) The results and recommendations made for each program that has completed the Department of Technology's Project Approval Lifecycle process, including the results of the alternatives and cost-benefit analyses made during Stage 2 of the process.
  - (b) The department shall publish the information specified in subdivision (a) a minimum of once quarterly.
  - SECTION 1. Section 210.5 is added to the Business and Professions Code, immediately following Section 210, to read:
  - 210.5. (a) On or before July 1 of each year, commencing July 1, 2018, the department shall submit an annual report to the Legislature that includes all of the following:
  - (1) The department's plan for implementing the BreEZe system at the regulatory entities in the department's third phase of the implementation project, including, but not limited to, a timeline for implementation.

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(2) The total estimated costs of implementation of the BreEZe system at the regulatory entities in the department's third phase of the implementation project and the results of any cost-benefit analysis the department conducted for the third phase of the implementation project.

- (3) A description of whether and to what extent the BreEZe system will achieve any operational efficiencies resulting from implementation by the boards and regulatory entities within the department's jurisdiction.
- (b) The report described in subdivision (a) shall be submitted in compliance with Section 9795 of the Government Code.
- (c) For purposes of this section, "the regulatory entities in the department's third phase of the implementation project" includes all of the following:
- (1) Acupuncture Board.

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- 16 (2) Board for Professional Engineers, Land Surveyors, and 17 Geologists.
- 18 (3) Bureau of Automotive Repair.
- 19 (4) Bureau of Electronic and Appliance Repair, Home
- 20 Furnishings, and Thermal Insulation.
- 21 (5) Bureau for Private Postsecondary Education.
- 22 (6) California Architects Board.
- 23 (7) California Board of Accountancy.
- 24 (8) California State Board of Pharmacy.
- 25 (9) Cemetery and Funeral Bureau.
- 26 (10) Contractors' State License Board.
- 27 (11) Court Reporters Board of California.
- 28 (12) Landscape Architects Technical Committee.
- 29 (13) Professional Fiduciaries Bureau.
- 30 (14) Speech-Language Pathology and Audiology and Hearing
- 31 Aid Dispensers Board.
- 32 (15) State Athletic Commission.
- 33 (16) State Board of Chiropractic Examiners.
- 34 (17) State Board of Guide Dogs for the Blind.
- 35 (18) Structural Pest Control Board.
- 36 (19) Telephone Medical Advice Services Bureau.

### #6e4

Assembly Bill 1340 (Maienschein)

Continuing medical education:

mental and physical health

care integration

Introduced by Assembly Member Maienschein (Principal coauthor: Assembly Member Thurmond) (Coauthors: Assembly Members Gallagher, Mathis, and Gipson) (Coauthors: Senators Bates and Hertzberg)

February 17, 2017

An act to amend Section 2191 of the Business and Professions Code, relating to healing arts.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 1340, as introduced, Maienschein. Continuing medical education: mental and physical health care integration.

The Medical Practice Act requires the Medical Board of California to adopt and administer standards for the continuing education of licensed physicians and surgeons and requires the board to require each licensed physician and surgeon to demonstrate satisfaction of the continuing education requirements at specified intervals. The act requires the board, in determining its continuing education requirements, to consider including courses on specified matters.

This bill would require the board to consider including in its continuing education requirements a course in integrating mental and physical health care in primary care settings, especially as it pertains to early identification of mental health issues in children and young adults and their appropriate care and treatment.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

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The people of the State of California do enact as follows:

SECTION 1. Section 2191 of the Business and Professions Code is amended to read:

- 2191. (a) In determining its continuing education requirements, the board shall consider including a course in human sexuality as defined in Section 2090 and nutrition to be taken by those licensees whose practices may require knowledge in those areas.
- (b) The board shall consider including a course in child abuse detection and treatment to be taken by those licensees whose practices are of a nature that there is a likelihood of contact with abused or neglected children.
- (c) The board shall consider including a course in acupuncture to be taken by those licensees whose practices may require knowledge in the area of acupuncture and whose education has not included instruction in acupuncture.
- (d) The board shall encourage every physician and surgeon to take nutrition as part of his or her continuing education, particularly a physician and surgeon involved in primary care.
- (e) The board shall consider including a course in elder abuse detection and treatment to be taken by those licensees whose practices are of a nature that there is a likelihood of contact with abused or neglected persons 65 years of age and older.
- (f) In determining its continuing education requirements, the board shall consider including a course in the early detection and treatment of substance abusing pregnant women to be taken by those licensees whose practices are of a nature that there is a likelihood of contact with these women.
- (g) In determining its continuing education requirements, the board shall consider including a course in the special care needs of drug addicted infants to be taken by those licensees whose practices are of a nature that there is a likelihood of contact with these infants.
- (h) In determining its continuing education requirements, the board shall consider including a course providing training and guidelines on how to routinely screen for signs exhibited by abused women, particularly for physicians and surgeons in emergency, surgical, primary care, pediatric, prenatal, and mental health settings. In the event the board establishes a requirement for continuing education coursework in spousal or partner abuse

-3- AB 1340

detection or treatment, that requirement shall be met by each licensee within no more than four years from the date the requirement is imposed.

- (i) In determining its continuing education requirements, the board shall consider including a course in the special care needs of individuals and their families facing end-of-life issues, including, but not limited to, all of the following:
  - (1) Pain and symptom management.
  - (2) The psycho-social dynamics of death.
  - (3) Dying and bereavement.
  - (4) Hospice care.

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- (j) In determining its continuing education requirements, the board shall give its highest priority to considering a course on pain management.
- (k) In determining its continuing education requirements, the board shall consider including a course in geriatric care for emergency room physicians and surgeons.
- (1) In determining its continuing education requirements, the board shall consider including a course in integrating mental and physical health care in primary care settings, especially as it pertains to early identification of mental health issues in children and young adults and their appropriate care and treatment.

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25 REVISIONS:
26 Heading—Line 3.

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# #6e5

Senate Bill 27 (Morrell)
Professions and vocations:
military service

#### **Introduced by Senator Morrell**

(Coauthors: Senators Bates, Berryhill, Nguyen, and Wilk) (Coauthors: Assembly Members Acosta, Baker, Chávez, Cunningham, Lackey, Mathis, and Patterson)

December 5, 2016

An act to add Section 114.6 to the Business and Professions Code, relating to professions and vocations.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 27, as amended, Morrell. Professions and vocations: licenses: military service.

Existing law provides for the licensure and regulation of various professions and vocations by boards within the Department of Consumer Affairs. Existing law authorizes any licensee or registrant whose license expired while he or she was on active duty as a member of the California National Guard or the United States Armed Forces to reinstate his or her license or registration without examination or penalty if certain requirements are met. Existing law also requires the boards to waive the renewal fees, continuing education requirements, and other renewal requirements, if applicable, of any licensee or registrant called to active duty as a member of the United States Armed Forces or the California National Guard, if certain requirements are met. Existing law requires each board to inquire in every application if the individual applying for licensure is serving in, or has previously served in, the military. Existing law requires a board within the Department of Consumer Affairs to expedite, and authorizes a board to assist with, the initial licensure

 $SB 27 \qquad \qquad -2 -$ 

process for an applicant who has served as an active duty member of the United States Armed Forces and was honorably discharged.

This bill would require every board within the Department of Consumer Affairs to grant a fee waiver for the application for and the issuance of an initial license to an applicant who supplies satisfactory evidence, as defined, to the board that the applicant has served as an active duty member of the California National Guard or the United States Armed Forces and was honorably discharged. The bill would require that a veteran be granted only one fee waiver, except as specified.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 114.6 is added to the Business and 2 Professions Code, to read:

- 114.6. (a) (1) Notwithstanding any other law, every board within the department shall grant a fee waiver for the application for and issuance of an initial license to an applicant who supplies satisfactory evidence to the board that the applicant has served as an active duty member of the California National Guard or the United States Armed Forces and was honorably discharged.
- (2) For purposes of this section, "satisfactory evidence" means a completed "Certificate of Release or Discharge from Active Duty" (DD Form 214).
- (b) (1) A veteran shall be granted only one fee waiver, except as specified in paragraph (2). After a fee waiver has been issued by—any *a* board within the department, the veteran is no longer eligible for a waiver.
- (2) If a board charges a fee for the application for a license and another fee for the issuance of a license, the veteran shall be granted fee waivers for both the application for and issuance of a license.
- (3) The fee waiver shall apply only to an application of and a license issued to an individual veteran and not to an application of or a license issued to an individual veteran on behalf of a business or other entity.
- business or other entity.(4) A fee waiver shall not be issued for any of the following:
- 24 (A) Renewal of a license.

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- 1 (B) The application for and issuance of an additional license, a
- 2 certificate, a registration, or a permit associated with the initial
- 3 license.
- 4 (C) The application for an examination.

# #6e6

Senate Bill 572 (Stone)

Healing arts licensees:

violations: grace period

#### **Introduced by Senator Stone**

February 17, 2017

An act to add Article 16 (commencing with Section 870) to Chapter 1 of Division 2 of the Business and Professions Code, relating to healing arts.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 572, as amended, Stone. Healing arts licensees: violations: grace period.

Existing law provides for the licensure and regulation of various healing arts professions by various boards, as defined, within the Department of Consumer Affairs. Existing law imposes certain fines and other penalties for, and authorizes these boards to take disciplinary action against licensees for, violations of the provisions governing those professions.

This bill would prohibit the boards from taking disciplinary action against, or otherwise penalizing, healing arts licensees who violate those provisions but correct the violations within 15-days, days and who are not currently on probation at the time of the violations, if the violations did not cause irreparable harm and will not result in irreparable harm if left uncorrected for 15 days.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

 $SB 572 \qquad \qquad -2-$ 

The people of the State of California do enact as follows:

SECTION 1. Article 16 (commencing with Section 870) is added to Chapter 1 of Division 2 of the Business and Professions Code, to read:

#### Article 16. Grace Period for Violations

- 870. Notwithstanding any other law, a person with a license issued pursuant to this division shall not be subject to disciplinary action by, or otherwise penalized by, the board that issued the license for a violation of a provision applicable to the license if both *all* of the following apply:
- (a) The violation did not cause any irreparable harm and will not result in irreparable harm if left uncorrected for 15 days.
  - (b) The person licensee corrects the violation within 15 days.
- (c) The licensee is not currently on probation at the time of the violation.

# #6e7

Senate Bill 762 (Hernandez)

Healing arts licensees:

License activation fee: waiver

#### **Introduced by Senator Hernandez**

February 17, 2017

An act to amend Section 704 of the Business and Professions Code, relating to workforce development. healing arts.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 762, as amended, Hernandez. Health care workforce development. Healing arts licensee: license activation fee: waiver.

Existing law requires a healing arts board, as defined, to issue, upon application and payment of the normal renewal fee, an inactive license or certificate to a current holder of an active license or certificate whose license or certificate is not suspended, revoked, or otherwise punitively restricted by the board. Existing law requires the holder of an inactive license or certificate to, among other things, pay the renewal fee in order to restore his or her license or certificate to an active status. Existing law requires the renewal fee to be waived for a physician and surgeon who certifies to the Medical Board of California that license restoration is for the sole purpose of providing voluntary, unpaid service to a public agency, not-for-profit agency, institution, or corporation that provides medical services to indigent patients in medically underserved or critical-need population areas of the state.

This bill would require the renewal fee to be waived for any healing arts licensee who certifies to his or her respective board that license restoration is for the sole purpose of providing voluntary, unpaid service to a public agency, not-for-profit agency, institution, or corporation that provides medical services to indigent patients in medically underserved or critical-need population areas of the state.

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The federal Workforce Innovation and Opportunity Act of 2014 provides for workforce investment activities, including activities in which states may participate. Existing law contains various programs for job training and employment investment, including work incentive programs, as specified, and establishes local workforce investment boards to perform duties related to the implementation and coordination of local workforce investment activities. Existing law requires local workforce investment boards to spend a minimum percentage of specified funds for adults and dislocated workers on federally identified workforce training programs and allows the boards to leverage specified funds to meet the funding requirements, as specified.

This bill would state the intent of the Legislature to enact legislation relating to health care workforce development.

Vote: majority. Appropriation: no. Fiscal committee: <del>no-</del>yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- SECTION 1. Section 704 of the Business and Professions Code is amended to read:
- 704. In order for the holder of an inactive license or certificate issued pursuant to this article to restore his or her license or certificate to an active status, the holder of an inactive license or certificate shall comply with-all both the following:
- (a) Pay the renewal fee; provided, that the renewal fee shall be waived for a physician and surgeon healing arts licensee who certifies to the Medical Board of California board that license restoration is for the sole purpose of providing voluntary, unpaid service to a public agency, not-for-profit agency, institution, or corporation—which that provides medical services to indigent patients in medically underserved or critical-need population areas of the state.
- (b) If the board requires completion of continuing education for renewers of an active license or certificate, complete continuing education equivalent to that required for a single license renewal period.
- 19 SECTION 1. It is the intent of the Legislature to enact 20 legislation relating to health care workforce development.

# #10 Approval of February 24, 2017 Board meeting minutes



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# California Acupuncture Board Public Board Meeting DRAFT Meeting Minutes February 24, 2017

#### LOCATION: 1747 NORTH MARKET BOULEVARD HQ2 HEARING ROOM SACRAMENTO, CA 95834

#### **Board Members Present**

Jamie Zamora, Vice President, Public Member Kitman Chan, Public Member Dr. Michael Corradino, DAOM, Licensed Member Francisco Hsieh, Public Member Jeannie Kang, L.Ac, Licensed Member Dr. Amy Matecki, M.D., L.Ac, Licensed Member

#### **Board Member Absent**

Hildegarde Aguinaldo, President, Public Member

#### **Legal Counsel Present**

Kelsey Pruden

#### **Staff Present**

Ben Bodea, Executive Officer Kristine Brothers, Enforcement Coordinator Jay Herdt, Education Coordinator Marc Johnson, Policy Coordinator

#### **Guest List on File**

#### **FULL BOARD MEETING - 9:30 AM**

#### Agenda Item #1 - Call to Order, Roll Call and Establishment of a Quorum

Vice President Jamie Zamora (VP Zamora), acting as meeting chair in place of President Hildegarde Aguinaldo, who was absent, called the meeting to order at 9:30am. Policy Coordinator Marc Johnson (Johnson) called the roll.

#### **Acupuncture Board Members**

Hildegarde Aguinaldo, President, Public Member Jamie Zamora, Vice President, Public Member Kitman Chan, Public Member Dr. Michael Corradino, DAOM, Licensed Member Francisco Hsieh, Public Member Jeannie Kang, L.Ac, Licensed Member Dr. Amy Matecki, M.D., L.Ac, Licensed Member



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<u>Members Present:</u> 6 - Zamora, Chan, Corradino, Hsieh, Kang, Matecki. <u>Members Absent:</u> 1 – Aguinaldo. *6-1 Quorum established.* 

#### Agenda Item #2 – Opening Remarks

VP Zamora welcomed everyone to the meeting and noted President Hildegarde Aguinaldo was absent from the meeting. He also announced that public comments were limited to two minutes per speaker. Finally, he announced Agenda Item # 10 and #11 being moved to the start of the meeting, and that Agenda Item #12 was going to be postponed. Agenda Item #13 was moved to the end of the meeting.

#### Agenda Item #3 – Public Comment for items not on Agenda

There were no public comments made.

# (Moved up) Agenda Item #10 – Presentation by DCA's Division of Investigation (DOI)

Rex Cowart, North Area Commander for DCA's DOI, and Stephanie Whitley, Supervisor for DOI, gave a presentation on DOI's role and services offered to the Board.

Public comment was taken on the item. A question was asked about how inter-agency complaints made to DCA were handled. Another commenter was concerned about other Boards not communicating with the Acupuncture Board on enforcement issues. Board Member Michael Corradino (Corradino) expressed concern on this issue too. Executive Officer Ben Bodea (EO Bodea) said the Board would work to open up more lines of communication with other Boards. A third comment was made regarding licensees working in Federal facilities.

# (Moved Up) Agenda Item #11 – Presentation Regarding the Board's Budget from the Department of Consumer Affairs' Budget Office

Matt Nishimine (Nishimine), from DCA's Budget office, presented on the Board's budget and fiscal health. He noted there were really no changes to the 2016/2017 budget from the Governor's budget which was released. He reported that fiscally, the Board was doing very well, and that the numbers presented were on the conservative side, except for the enforcement costs which were a bit higher.

Board Member Kitman Chan (Chan) felt the numbers showed expenditure over revenue – by \$700,000 by his estimate. He worried the Board would run out of money by 2018. He wondered if the budget could be revised. Nishimine replied that while the Board does have a known structural imbalance, there was a significant reserve (12 months) in the budget through 2018, and most Boards had fewer than six. He also noted there



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would be a yearly appropriation which helps the situation, but the imbalance would remain and the Board would have to either reduce expenses or increase fees in the future.

A public comment was made wondering about the General Fund repayment to the Board.

#### Agenda Item #4 - President's Report

VP Zamora had nothing to report.

#### Agenda Item #5 – Election of Officers

Due to the absence of Hildegarde Aguinaldo, VP Zamora proposed moving the elections to the next scheduled meeting.

MOTION:VP Zamora made a motion to table the Election of Officers until the next scheduled Board meeting. Member Chan seconded the motion. Vote: Aguinaldo – ABSENT; Zamora – YES; Chan – YES; Corradino – YES; Hsieh – YES; Kang – YES; Matecki – ABSTAIN. **6-0-1 MOTION PASSES.** 

#### Agenda Item #6 - Staff Reports

#### a. Executive Officer's Report (Bodea)

Executive Officer Benjamin Bodea (EO Bodea) reported the following:

- <u>1. Staff Update</u> The Board has put out hiring offers for two open Exam and Education analyst staff positions, and was expecting the process to finalize in the next month.
- <u>2. Budget Update</u> EO Bodea referred to Nishimine's presentation and that the Board was doing well for a state agency.

#### b. Enforcement Reports (Brothers)

Enforcement Coordinator Kristine Brothers (Brothers) provided the enforcement report. She noted from the dates of October 1, 2016 through December 31, 2016, the Board had 85 complaints received and 73 were closed or referred for investigation. The Board has 124 investigations pending, and 71 investigations were closed. The average days from complaints receipt to closure of the investigation was 145 days. She also reviewed complaint trends, noting a drop in unprofessional conduct complaints.

Several public comments were made in support of the enforcement work done by the Board.



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Johnson then gave a brief report on a letter sent to all licensees regarding new Board regulations on the Consumer Protection Enforcement Initiative and BPC 138. He noted the letter was also available on the Board's website and a FAQ was being developed on the issue.

A public comment was made thanking the Board for the letter mailed.

#### c. Education Report (Herdt)

Education Coordinator Jay Herdt (Herdt) gave the Education report. He updated the Board on the ongoing collaboration between the Bureau for Private Postsecondary Education (BPPE) and the Accreditation Commission for Acupuncture and Oriental Medicine (ACAOM) to facilitate the implementation of Senate Bill 1246. There was a question about completing curriculum reviews within 30 days as required by SB 1246, but Herdt said the Board should have no problem doing so.

For continuing education operations, Herdt noted the unit continues to process a high volume of applications for CE course, an average of 280 per month, and felt the processing times were improving with additional staff. He also updated the Board on the Tutorial Program with 49 active tutorials currently in progress.

A public comment was made raising concern about the schools listed on the Board website as being in compliance.

#### d. Regulatory Update

Johnson presented the status of current regulatory packages approved by the Board.

Public Comment was taken on these agenda items. One commenter urged the Board to allow only Acupuncture Schools to be CE providers, another disagreed and felt associations can be good CE providers. Another commenter asked if the Occupational Analysis be offered in multiple languages. Several commenters urged the Board to adopt guidelines about CE providers and to review the high number of providers.

#### e. Legislative Update

Johnson reviewed legislation of interest to the Board. He highlighted SB 27, which would allow applicants for licensure who served in the military a waiver for their license fees. No positions were taken on Legislation.

A public comment was made urging the Board to support SB 27.

#### Agenda Item #7 – Presentation on the Role of a Regulatory Board (Pruden)

Legal Counsel Kelsey Pruden (Pruden) gave a presentation on the role of a Regulatory Board in California.



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A public comment was made asking why there has not been more legislative action by the Board. Another commenter brought up the issue of cupping.

# Agenda Item #8 – Discussion of "Dry Needling" or Unlicensed Individuals Applying a Needle to the Human Body

EO Bodea opened on the issue of dry needling by other practitioners including Physical Therapists by referencing Business and Professions Code Section 4927 – the Board's legislatively defined scope of practice -- and Board regulations on the training for acupuncturists. Board Member Michael Corradino (Corradino) noted there has been a lot of talk about this from the public and practitioners. He felt the topic was a bit of a slippery slope, and something the Board had to be careful with since no profession wants to limit any other profession from what they do. He also noted the Board cannot take requests from practitioners to fight for them for their scope of practice.

Counsel Pruden agreed and reminded the Board to be cautious of their discussion on the topic, esp. in light of the North Carolina versus the Dental Board Examiners case. In response to a question from VP Zamora, she noted the Board can investigate complaints on unlicensed practice. She also pointed out that if the Board decided to act on the issue in some manner, it would be best to have Legal Counsel look into the different avenues and actions beforehand. VP Zamora agreed and stated the Board would not be making a decision on anything. EO Bodea noted he was in contact with other Boards regarding this issue.

Board Member Jeannie Kang (Kang) observed there were a number of professional organizations and schools attending the meeting, and encouraged then to continue the conversation on this topic. She pointed out there was only so much the Board could do, and urged them to do diligent research on the issue.

VP Zamora introduced Jason Kaiser, (Kaiser) the Executive Officer of the Physical Therapy Board, who was invited by EO Bodea to attend the meeting. Kaiser noted that tissue penetration by a physical therapist is prohibited unless under specialty certification for either kinesiologic electromyography or electro neuromyography. He also noted the PT Board has not received a complaint about physical therapists performing dry needling. He said physical therapists who may have been reported to do dry needling at Federal Veterans Hospitals are under the auspices of the US Military and often are not even licensed in California to do so, but may be under the respective state they are in. EO Kaiser also referred to the physical therapy scope of practice, beginning with BPC Section 2620.

Public Comment was taken on the item. The first commenter noted the Board is protecting the public interest. The second commenter asked the Board make an official statement on the issue. Another comment was made wondering who to submit a



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complaint to and with which Board. Additional comments were made by an attorney who was involved in the lawsuit in California to prevent certain people from performing dry needling. He felt the Board did not have to worry too much about being sued individually on this issue. Another commenter felt dry needling was acupuncture and asked the Board to define it better. Further comments were made in support of educating the public more on the issue, and making dry needling a subject for acupuncture training.

VP Zamora closed the discussion by asking Legal Counsel to perform more research on the issue and come back to the Board at a later date.

# Agenda Item #9 —Presentation Regarding How to Conduct a Meeting in Accordance with the Bagley-Keene Open Meeting Act (Pruden)

Counsel Pruden gave a presentation to the Board about the Bagley-Keene open meeting act and how the laws apply to the Board.

A public comment was made asking the Board to post the meeting agendas online sooner.

# Agenda Item #14 – Consideration and possible action on proposed amendments to Title 16, CCR Section 1399.469 - Disciplinary Guidelines (Brothers and Johnson)

Johnson provided an overview of the Guidelines and the history of the issue. He noted the current Guidelines date to 1996 and an update was needed. The rulemaking package was reviewed by the Enforcement Committee in December and was approved with minor changes for staff to make prior to presentation to the full Board. He read aloud the changes to the proposed regulatory text in California Code of Regulations (CCR) Section 1399.469.

Brothers then began the presentation of the Guidelines document for the Board. She provided an overview of the changes requested in the Guidelines by the Committee to conditions number two, four, ten, eleven and twelve. Counsel Pruden advised the Board to review the entire document, not just the changes requested by the Committee. VP Zamora referred the Board members to the memo in the Board packet and asked to review the Guidelines page by page.

The Board began review of the Guidelines. Counsel Pruden asked if the Board might want to separate practice and billing monitor. Brothers replied that the monitor typically submits the plan to the Board, and the Board has the final say about the plan. She advised deleting the paragraph on page 9 of the Guidelines and restoring the phrase "once approved, the monitor shall submit to the Board a plan by which respondent's practice shall be monitored" and adding in "and the Board or its designee shall approve



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such a plan". Under optional condition #12, Vice President Zamora asked about marijuana if the respondent was legitimately prescribed as part of treatment. Counsel Pruden replied the law was changing constantly on the issue, but marijuana should be treated just like alcohol and it would be prudent to be added into the condition. Board agrees with the changes.

A public comment was made asking about the length of time licensees must be licensed in order to be a probation monitor. There was concern that new licensees would be monitoring a licensee who has been practicing for much longer. VP Zamora noted the Board has a vetting process for the monitors and thus far have not had any problems in this area.

Brothers continued review of the document. Counsel Pruden suggested adding "respondent at all times must maintain a clear and active license with the Board" into standard condition #21 and standard condition #23. She also suggested changing "valid" to "clear and active" throughout the document to better identify licensees. There was some discussion about inactive licensees who may be on probation; Brothers noted that inactive license status might make some of the probationary conditions moot, however the Board would still have jurisdiction over them. Counsel Pruden felt the status was okay either way, but licensees would still need to maintain an active license for purposes of rehabilitation. She also noted that the Guidelines could be deviated from at the Board's discretion.

Discussion turned to the recommended action by violation section of the Guidelines. Board Member Kang asked about the range of penalties for advertising, specifically BPC 651 referenced in the Guidelines. Counsel Pruden explained the range was standard, but recommended duplicating BPC Section 4955(c) in place of 651 in the Guidelines, with 3 years maximum and 1 year minimum. Board agrees. VP Zamora reviewed the remaining action by violation section and then the last sections of the Guidelines. There were no further changes made.

Public comment was taken. The first comment had concern about the definition of unprofessional conduct and the understanding of what that meant. He also had concern about advertising regulations and wanted to see changes.

MOTION: VP Zamora made a motion to approve the proposed regulatory text for CCR Section 1399.469 and the proposed 'Acupuncture Board Disciplinary Guidelines Revised December 2016' as incorporated by reference with the changes made here today, and delegate the authority to the Executive Officer to make any technical and non-substantive changes that may be required and to adopt the proposed regulatory changes. Member Kang seconded the motion. Vote: Aguinaldo – ABSENT; Zamora – YES; Chan – YES; Corradino – YES; Hsieh – YES; Kang – YES; Matecki – YES. 6-0-1 MOTION PASSES.



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# Agenda Item #15 – Review and Approval of December 14, 2016 Board Meeting Minutes (Johnson)

Johnson presented the December 14, 2016 Board meeting minutes. There were no changes made.

MOTION: VP Zamora made a motion to approve the December 14, 2016 Board meeting minutes. Member Kang seconded the motion. Vote: Aguinaldo – ABSENT; Zamora – YES; Chan – YES; Corradino – ABSTAIN; Hsieh – YES; Kang – YES; Matecki – YES. **5-0-1-1 MOTION PASSES.** 

#### Agenda Item #16 - Scheduling of 2017 Board and Committee Meeting Dates

VP Zamora scheduled future full Board meeting dates for Friday, May 5<sup>th</sup>, 2017 in Los Angeles; Friday, September 8, 2017 in San Diego and Friday, November 3, 2017 in Oakland.

#### Agenda Item #17 – Future Agenda Items

Member Corradino asked the Board to make a statement on the issue of dry needling as a future agenda item, and for staff to provide an update on the transition from the CALE to the NCCAOM exam. He also asked the Board to pursue further regulations on Acupuncture Advertising, and a committee meeting on scope of practice and further clarification on scope issue. Member Kang asked to form an ad-hoc committee to review the 'Consumer's Guide to Acupuncture' publication.

Public comment was made on future agenda items. The first comment noted the NCCAOM had more herbal testing than the CALE, and wants the Board to address the issue. A second commenter wants the Consumer's Guide to Acupuncture re-issued, further discussion on advertising, and a statement made by the Board on dry needling and manual therapy. A third comment was made asking the Board to look into enforcement of herbs and traumatology. Another comment was made referring the Board to a study on dry needling made by the CCAOM.

#### **CLOSED SESSION**

Agenda Item #13 -- Pursuant to Government Code Section 11126(c)(3), the Board will Meet in Closed Session to Deliberate on Disciplinary Matters Including Petitions, Proposed Decisions, Stipulated Settlements, Defaults, and Any Other Disciplinary Matters

The Board went into closed session on this item.



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#### **OPEN SESSION**

Upon completion of the closed session, VP Zamora adjourned the meeting.

ADJOURNMENT at 4:15pm.